

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

- (i) \* Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- \* Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- KRM Tower, 7th Floor, No. 1,  
Harrington Road, Chetpet,  
Chennai  
Chennai  
Tamil Nadu  
600031
- (c) \*e-mail ID of the company
- (d) \*Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)
- | Type of the Company | Category of the Company   | Sub-category of the Company   |
|---------------------|---------------------------|-------------------------------|
| Public Company      | Company limited by shares | Indian Non-Government company |
- (v) Whether company is having share capital  Yes  No
- (vi) \*Whether shares listed on recognized Stock Exchange(s)  Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	National Stock Exchange of India Limited	1,024
2	BSE Limited	1

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PLC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,  
Financial District, Nanakramguda, Serilingampally

(vii) \*Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM 05/08/2022

(b) Due date of AGM 30/09/2022

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K1	Banking activities by Central, Commercial and Saving banks	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 2

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	IDFC FIRST BHARAT LIMITED	U65929TN2003PLC050856	Subsidiary	100
2	MILLENNIUM CITY EXPRESSWA	U45204DL2014PTC266306	Associate	29.98

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	7,500,000,000	6,217,708,310	6,217,708,310	6,217,708,310
Total amount of equity shares (in Rupees)	75,000,000,000	62,177,083,100	62,177,083,100	62,177,083,100

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES</b>				
Number of equity shares	7,500,000,000	6,217,708,310	6,217,708,310	6,217,708,310
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	75,000,000,000	62,177,083,100	62,177,083,100	62,177,083,100

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	3,800,000	0	0	0
Total amount of preference shares (in rupees)	380,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>PREFERENCE SHARES</b>				
Number of preference shares	3,800,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	380,000,000	0	0	0

###### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

###### (d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						

<b>At the beginning of the year</b>	112,920	5,675,736,9	5675849855	56,758,498,	56,758,498	
<b>Increase during the year</b>	14,155	541,861,534	541875689	5,418,584,5	5,418,584,	25,329,542,9
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	523,103,660	523103660	5,231,036,6	5,231,036,	24,768,958,1
v. ESOPs	0	18,754,795	18754795	187,547,950	187,547,95	560,584,683
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify	14,155	3,079	17234			
Pursuant to Dematerialization and Rematerialization						
<b>Decrease during the year</b>	3,079	14,155	17234	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	
iv. Others, specify	3,079	14,155	17234			
Pursuant to Dematerialization and Rematerialization						
<b>At the end of the year</b>	123,996	6,217,584,3	6217708310	62,177,083,	62,177,083	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0

i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

INE092T01019

**(ii) Details of stock split/consolidation during the year (for each class of shares)**

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>
Date of registration of transfer (Date Month Year)		<input type="text"/>
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	195,081	0	208,581,000,000

Particulars	Number of units	Nominal value per unit	Total value
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			208,581,000,000

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	221,139,820,000	15,000,000,000	27,558,820,000	208,581,000,000
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

203,947,222,828.45

**(ii) Net worth of the Company**

190,806,148,499

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	

	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,268,937,489	36.49	0	
10.	Others	0	0	0	
	<b>Total</b>	2,268,937,489	36.49	0	0

**Total number of shareholders (promoters)**

1

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,574,172,918	25.32	0	
	(ii) Non-resident Indian (NRI)	83,419,076	1.34	0	
	(iii) Foreign national (other than NRI)	31,774	0	0	
2.	Government				
	(i) Central Government	261,400,000	4.2	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	13,837,786	0.22	0	
4.	Banks	1,228,748	0.02	0	
5.	Financial institutions	100	0	0	



6.	Foreign institutional investors	838,289,245	13.48	0	
7.	Mutual funds	226,700,696	3.65	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	65,109,450	1.05	0	
10.	Others ALTERNATIVE INVESTMENT	884,581,028	14.23	0	
	<b>Total</b>	3,948,770,821	63.51	0	0

**Total number of shareholders (other than promoters)**

1,568,957
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**Total number of shareholders (Promoters+Public/  
Other than promoters)**

1,568,958
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**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	1,183,361	1,568,957
Debenture holders	417,973	2,742

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	1	6	1	9	0.37	0.01
(i) Non-Independent	1	1	1	3	0.37	0
(ii) Independent	0	5	0	6	0	0.01
<b>C. Nominee Directors representing</b>	0	1	0	0	0	0

(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	1	0	0	0	0
Total	1	7	1	9	0.37	0.01

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date** 12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. V. Vaidyanathan	00082596	Managing Director	23,007,117	
Mr. Aashish Kamat	06371682	Director	26,000	
Dr. Brinda Jagirdar	06979864	Director	0	
Mr. Hemang Raja	00040769	Director	0	
Mr. Pravir Kumar Vohra	00082545	Director	710,000	
Mr. Sanjeeb Chaudhuri	03594427	Director	21,000	
Mr. S. Ganesh Kumar	07635860	Director	0	
Mr. Ajay Sondhi	01657614	Director	0	
Mr. Jaimini Bhagwati	07274047	Additional director	0	
Mr. Vishal Mahadevia	01035771	Director	0	
Mr. Sudhanshu Jain	AFBPJ3608R	CFO	0	
Mr. Satish Gaikwad	AGHPG7454K	Company Secretar	463,462	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year** 6

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Mr. S. Ganesh Kumar	07635860	Director	30/04/2021	Appointment
Mr. Ajay Sondhi	01657614	Director	22/07/2021	Appointment
Mr. Sunil Kakar	03055561	Nominee director	22/07/2021	Cessation
Mr. Pravir Vohra	00082545	Director	01/08/2021	Re-appointment
Mr. V. Vaidyanathan	00082596	Managing Director	19/12/2021	Re-appointment
Dr. Jaimini Bhagwati	07274047	Additional director	18/02/2022	Appointment

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	15/09/2021	1,497,858	453	5.02

### B. BOARD MEETINGS

\*Number of meetings held

9

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	30/04/2021	9	9	100
2	08/05/2021	9	9	100
3	16/06/2021	9	9	100
4	30/06/2021	9	9	100
5	31/07/2021	9	9	100
6	30/10/2021	9	9	100
7	30/12/2021	9	9	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
8	29/01/2022	9	9	100
9	30/03/2022	10	10	100

### C. COMMITTEE MEETINGS

Number of meetings held

57

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	29/04/2021	4	4	100
2	Audit Committee	07/05/2021	4	4	100
3	Audit Committee	21/05/2021	4	4	100
4	Audit Committee	16/06/2021	4	4	100
5	Audit Committee	30/07/2021	4	4	100
6	Audit Committee	30/09/2021	4	4	100
7	Audit Committee	29/10/2021	4	4	100
8	Audit Committee	28/01/2022	4	4	100
9	Stakeholders'	28/04/2021	5	5	100
10	Stakeholders'	29/07/2021	6	5	83.33

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	05/08/2022
								(Y/N/NA)
1	Mr. V. Vaidyar	9	9	100	41	41	100	Yes
2	Mr. Aashish K	9	9	100	23	23	100	Yes
3	Dr. Brinda Jag	9	9	100	34	34	100	Yes
4	Mr. Hemang R	9	9	100	32	32	100	Yes

5	Mr. Pravir Kun	9	9	100	25	25	100	Yes
6	Mr. Sanjeeb C	9	9	100	25	25	100	Yes
7	Mr. S. Ganesh	9	9	100	15	15	100	Yes
8	Mr. Ajay Sond	5	5	100	12	10	83.33	Yes
9	Mr. Jaimini Bh	1	1	100	0	0	0	Yes
10	Mr. Vishal Mal	9	9	100	24	24	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. V. Vaidyanathar	Managing Director	44,460,962	0	0	0	44,460,962
	Total		44,460,962	0	0	0	44,460,962

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Sudhanshu Jain	Chief Financial Officer	21,847,860	1,960,000			23,807,860
2	Mr. Satish Gaikwad	Company Secretary	11,193,811	5,200,000			16,393,811
	Total		33,041,671	7,160,000			40,201,671

Number of other directors whose remuneration details to be entered

9

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Aashish Kamat	Independent Director	1,600,000			2,100,000	3,700,000
2	Dr. Brinda Jagirdar	Independent Director	1,600,000			2,650,000	4,250,000
3	Mr. Hemang Raja	Independent Director	1,600,000			2,650,000	4,250,000
4	Mr. Pravir Kumar V	Independent Director	1,600,000			2,200,000	3,800,000
5	Mr. Sanjeeb Chaudh	Part-Time Non-Executive Director	2,080,434			2,200,000	4,280,434
6	Mr. S. Ganesh Kumar	Independent Director	1,472,527			1,700,000	3,172,527
7	Mr. Ajay Sondhi	Non Executive Director	1,108,695			1,000,000	2,108,695

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
8	Mr. Jaimini Bhagwa	Non Executive N	186,666			100,000	286,666
9	Mr. Vishal Mahadev	Non Executive N	0				0
	Total		11,248,322	0	0	14,600,000	25,848,322

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

1

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status
Capital First Limite	SEBI	25/08/2021	SEBI (Substantial A	Monetary penalty c	

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Kumudini Bhalerao

Whether associate or fellow

Associate  Fellow

Certificate of practice number

6690

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

VAIDYANAT  
HAN  
VEMBU  
Digitally signed by  
VAIDYANAT HAN  
VEMBU  
Date: 2022.09.20  
13:46:39 +05'30'

DIN of the director

**To be digitally signed by**

SATISH  
ASHOK  
GAIKWAD  
Digitally signed by  
SATISH ASHOK  
GAIKWAD  
Date: 2022.09.20  
13:47:36 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

**List of attachments**

- 
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MGT-8\_IDFC FIRST Bank\_2021-22\_Signe  
IDK FPC AS ON 31032022.pdf  
IDFCFIRSTB - Additional Attachment and

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**





September 20, 2022

To,  
**Office of the Registrar of Companies**  
**Ministry of Corporate Affairs**  
Block No.6, B Wing 2nd Floor  
Shastri Bhawan 26, Haddows Road,  
Chennai – 600034

**Subject: Clarification with respect to e-form MGT-7 for FY 2021-22**

Dear Sir/ Madam,

I, Mr. Satish Gaikwad, Head – Legal & Company Secretary of IDFC FIRST Bank Limited is providing following clarifications/ issues with respect to filing of e-form MGT-7 for FY 2021-22:

**1. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY**

**IV. (iv) Debentures (Outstanding as at the end of financial year):** The Bank has issued Non-Convertible Debentures (NCDs) having different nominal value which cannot be reproduced in form MGT-7. The total value of NCDs aggregating to ₹ 20858,10,00,000 shown in point No. IV (iv) of Form has to be read as per individual break-up given in the below table as the form does not populate additional column for NCDs having different nominal value and therefore the nominal value has been mentioned as '0' (zero) in the Form so as to enable "Check Form". Further, please note that the amount mentioned below does not include unamortised discount and accrued interest as on March 31, 2022.

Particulars	Number of units	Nominal value per unit (in ₹)	Total value (in ₹)
Non-convertible debentures - private placement bonds	1,93,581	10,00,000	19358,10,00,000
Non-convertible debentures - private placement bonds	1,500	100,00,000	1500,00,00,000
<b>Total</b>	<b>1,95,081</b>		<b>20858,10,00,000</b>

## 2. VI (b) Share Holding Pattern - Public/Other than promoters

Others include:

SN	Category of Shareholders	Shares	% Equity
1	ALTERNATIVE INVESTMENT FUND	82,14,390	0.13
2	IEPF	4,75,084	0.01
3	CLEARING MEMBERS	5,71,17,086	0.92
4	NBFC	5,64,123	0.01
5	QUALIFIED INSTUTIONAL BUYER	34,57,56,210	5.56
6	TRUST	6,68,766	0.01
7	OVERSEAS CORPORATE BODIES	4,71,78,5369	7.59
<b>Total</b>		<b>88,45,81,028</b>	<b>14.23</b>

**VI (c) Details of Foreign institutional investors' (FIIs) holding shares of the company:** Since the Details of Foreign institutional investors' (FIIs) holding shares of the Bank are exceeding 25; the details of all Foreign institutional investors' (FIIs) including Foreign Portfolio Investors holding shares of the Bank are provided as an attachment to Form MGT-7.

## 3. VII. NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Total No. of Debenture holders are divided into following:

Particulars	March 31, 2021	March 31, 2022
Private Placement Bonds	2,977	2,742
Retail Bonds issued u/s 80 CCF	4,14,996	-
<b>Total</b>	<b>4,17,973</b>	<b>2,742</b>

## 4. VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### → Board of Directors

During the year under review, the following changes were noted in the composition of the Board of Bank:

- Mr. S. Ganesh Kumar (DIN 07635860) was been appointed as an Independent Director of the Bank for a period of five (5) years effective from April 30, 2021.
- Mr. Ajay Sondhi (DIN 01657614) was appointed as a Non-Executive Non-Independent Director of the Bank for a period of four (4) years effective from July 22, 2021.
- Mr. Pravir Vohra (DIN 00082545) was re-appointed as an Independent Director of the Bank for a second term of five (5) years effective from August 01, 2021.
- Mr. V. Vaidyanathan (DIN 00082596) was re-appointed as Managing Director & Chief Executive Officer (the 'MD & CEO') of the Bank for a period of three (3) years, effective from December 19, 2021.
- Mr. Sanjeeb Chaudhuri (DIN 03594427) was appointed as a Part-Time Non-Executive Chairperson (Independent Director) of the Bank, for a period of three (3) years effective from August 25, 2021.

6. Mr. Sunil Kakar (DIN 03055561) resigned as Non-Executive Non-Independent Director of the Bank effective from July 22, 2021.
7. Dr. Jaimini Bhagwati (DIN 07274047) was appointed as a Non-Executive Non-Independent Director of the Bank for a period of three (3) years effective from February 18, 2022.

Subsequent to the year under review, the Board at its meeting held on April 30, 2022, approved the re-appointment of Mr. Sanjeeb Chaudhuri (DIN 03594427) as an Independent Director of the Bank for a second term of four (4) years, effective from May 10, 2023 up to May 09, 2027 (both days inclusive), approved by the shareholders of the Bank at Annual General Meeting, held on August 05, 2022.

### → Key Managerial Personnel

As on March 31, 2022, the following officials of the Bank are the 'Key Managerial Personnel' pursuant to the provisions of Section 203 of the Companies Act, 2013:

**Mr. V. Vaidyanathan** - Managing Director and Chief Executive Officer  
**Mr. Sudhanshu Jain** - Chief Financial Officer and Head – Corporate Centre  
**Mr. Satish Gaikwad** - Head – Legal and Company Secretary

## 5. IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

**C: COMMITTEE MEETINGS:** Since the Committee Meetings of IDFC FIRST Bank Limited are exceeding 10 Meetings; therefore, additional Committee Meetings details are provided below:

SN	Type of meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
11	Stakeholders' Relationship and Customer Service Committee	28/10/2021	6	6	100
12	Stakeholders' Relationship and Customer Service Committee	27/01/2022	6	6	100
13	Nomination & Remuneration Committee	28/04/2021	4	4	100
14	Nomination & Remuneration Committee	07/05/2021	4	4	100
15	Nomination & Remuneration Committee	16/06/2021	4	4	100
16	Nomination & Remuneration Committee	29/06/2021	4	4	100
17	Nomination & Remuneration Committee	30/07/2021	4	4	100
18	Nomination & Remuneration Committee	28/10/2021	4	4	100
19	Nomination & Remuneration Committee	29/12/2021	4	4	100
20	Nomination & Remuneration Committee	25/01/2022	4	4	100
21	Corporate Social Responsibility Committee	28/04/2021	3	3	100
22	Corporate Social Responsibility Committee	28/07/2021	3	3	100

23	Corporate Social Responsibility Committee	21/10/2021	3	3	100
24	Corporate Social Responsibility Committee	25/01/2022	3	3	100
25	Risk Management Committee	07/05/2021	5	5	100
26	Risk Management Committee	30/07/2021	5	5	100
27	Risk Management Committee	29/10/2021	5	5	100
28	Risk Management Committee	28/01/2022	5	5	100
29	Credit Committee	12/04/2021	4	4	100
30	Credit Committee	17/05/2021	4	4	100
31	Credit Committee	07/06/2021	4	4	100
32	Credit Committee	29/06/2021	4	4	100
33	Credit Committee	12/07/2021	4	4	100
34	Credit Committee	12/08/2021	4	4	100
35	Credit Committee	31/08/2021	4	4	100
36	Credit Committee	27/09/2021	4	4	100
37	Credit Committee	28/10/2021	4	4	100
38	Credit Committee	29/11/2021	4	4	100
39	Credit Committee	09/12/2021	4	4	100
40	Credit Committee	24/12/2021	4	4	100
41	Credit Committee	24/01/2022	4	4	100
42	Credit Committee	25/02/2022	4	4	100
43	Credit Committee	28/03/2022	4	4	100
44	Allotment, Transfer and Routine Matters Committee*	25/01/2022	5	4	80
45	Allotment, Transfer and Routine Matters Committee*	08/02/2022	5	4	80
46	IT Strategy Committee	29/04/2021	3	3	100
47	IT Strategy Committee	29/07/2021	4	4	100
48	IT Strategy Committee	28/10/2021	4	4	100
49	IT Strategy Committee	27/01/2022	4	4	100
50	Fraud Monitoring Committee	29/04/2021	5	5	100
51	Fraud Monitoring Committee	16/06/2021	6	6	100
52	Fraud Monitoring Committee	29/07/2021	6	5	83.33
53	Fraud Monitoring Committee	21/10/2021	5	5	100
54	Fraud Monitoring Committee	27/01/2022	5	5	100
55	Wilful Defaulter or Non-Cooperative Borrower Review Committee	28/04/2021	3	3	100
56	Wilful Defaulter or Non-Cooperative Borrower Review Committee	08/06/2021	4	4	100
57	Capital Raise and Corporate Restructuring Committee	25/01/2022	4	4	100

\* The Allotment, Transfer and Routine Matters ('ATRM') Committee includes Chief Financial Officer & Head – Corporate Centre and Chief Human Resources Officer as members of the Committee. The Chief Human Resources Officer was absent at the ATRM Committee meetings held on January 25, 2022 and February 08, 2022.

**Note:**

1. A Meeting of Independent Directors was held on July 29, 2021. All Independent Directors were present at the said meeting.
2. Apart from the above, in order to give effect to the capital raising plan (Qualified Institutional Placement), a special committee of the Board, viz. 'Capital Raising Committee', was constituted with Mr. V. Vaidyanathan, MD & CEO, Mr. Hemang Raja, Independent Director and Mr. Vishal Mahadevia, Non-Executive Non-Independent Director. During FY 2021-22, 2 meetings of Capital Raising Committee were held, both on April 06, 2021. All members were present at both Capital Raising Committee meetings.

**D: ATTENDANCE OF DIRECTORS:** Directors attendance for Independent Directors Meeting and Capital Raising Committee Meeting is not considered in the table. All Independent Directors were present at the Independent Directors meeting held on July 29, 2021 and all members of Capital Raising Committee were present in all meetings of Capital Raising Committee held during FY 2021-22.

**6. X. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:**

Based on the performance of Mr. V. Vaidyanathan for FY 2020-21 and on recommendation of Nomination and Remuneration Committee, the Board of Directors of the Bank had approved grant of 29,99,748 stock options to Mr. V. Vaidyanathan, MD & CEO under IDFC FIRST Bank – Employees Stock Option Scheme and the said grant was duly approved by the RBI vide its letter dated July 21, 2021.

Further, during the FY 2021-22, Mr. Sudhanshu Jain Chief Financial Officer & Head – Corporate Centre and Mr. Satish Gaikwad Company Secretary were granted 10,00,000 and 2,50,000 Stock Options, respectively.

The detailed Remuneration of Directors of the Bank are provided in **Annexure A**.

Kindly consider the above clarifications.

For **IDFC FIRST Bank Limited**

**SATISH  
ASHOK  
GAIKWAD**

Digitally signed by  
SATISH ASHOK  
GAIKWAD  
Date: 2022.09.20  
13:08:01 +05'30'

**Satish Gaikwad**

*Head - Legal & Company Secretary*

**Membership No: - F 6494**

**Add: - Naman Chambers, C-32, G-Block  
Bandra-Kurla Complex, Bandra (E),  
Mumbai 400 051**

**Annexure A**

Name of the Director	Stock Options granted during the year (No.)	Sitting Fees	(in ₹)
			Remuneration
Mr. V. Vaidyanathan <sup>1</sup>	29,99,748	-	4,44,60,962
Mr. Sanjeeb Chaudhuri <sup>2</sup>	-	22,00,000	20,80,434
Mr. Aashish Kamat	-	21,00,000	16,00,000
Dr. (Mrs.) Brinda Jagirdar	-	26,50,000	16,00,000
Mr. Hemang Raja	-	26,50,000	16,00,000
Mr. Pravir Vohra	-	22,00,000	16,00,000
Mr. S. Ganesh Kumar <sup>3</sup>	-	17,00,000	14,72,527
Mr. Ajay Sondhi <sup>4</sup>	-	10,00,000	11,08,695
Dr. Jaimini Bhagwati <sup>5</sup>	-	1,00,000	1,86,666
Mr. Vishal Mahadevia <sup>6</sup>	-	-	-

**Notes:**

- In view of the distress caused by COVID-19 pandemic which had impacted the overall economy, in order to demonstrate responsible leadership and to set the tone at the top for the Bank's austerity measures, the MD & CEO had voluntarily offered to waive off his bonus for FY 2020-21. Based on the performance of Mr. V. Vaidyanathan for FY 2020-21 and on recommendation of Nomination and Remuneration Committee, the Board of the Bank had approved grant of 29,99,748 stock options to Mr. V. Vaidyanathan, MD & CEO under 'IDFC FIRST Bank – Employees Stock Option Scheme 2015' and the said grant was duly approved by the RBI vide its letter dated July 21, 2021. For FY 2021-22, the components of remuneration are as per RBI approval vide its letter dated March 23, 2022 and it also includes perquisites as per Income Tax Act.
- Remuneration paid to Mr. Sanjeeb Chaudhuri, includes ₹ 14,41,304 paid as Chairperson of the Board with effect from August 25, 2021 and ₹ 6,39,130 paid as Non-Executive Director up till August 24, 2021.
- Mr. S. Ganesh Kumar has been appointed as Independent Director of the Bank, with effect from April 30, 2021.
- Mr. Ajay Sondhi has been appointed as Non-Executive Non-Independent Director of the Bank, with effect from July 22, 2021.
- Dr. Jaimini Bhagwati has been appointed as Non-Executive Non-Independent Director of the Bank, with effect from February 18, 2022.
- During FY 2021-22, no remuneration/ sitting fees was paid to Mr. Vishal Mahadevia.
- Mr. Sunil Kakar resigned as Non-Executive Non-Independent Director of the Bank, with effect from July 22, 2021. He was not paid any remuneration/sitting fees during FY 2021-22.

IDFC FIRST BANK LIMITED										
List of Top 0 Shareholders as on 31/03/2022 (FPC)										
SNO	DPID	FOLIO/CL-ID	NAME/JOINT NAME(S)/ADDRESS	ADDRESS	COUNTRY OF INCORPORATION	REGN. NO WITH SEBI	HOLDING	% TO EQT	MINOR CODE	PAN NO
1	IN303786	10006100	ODYSSEY 44 A 5	SBI SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA ANNEXE BUILDING GR FLOOR, S V ROAD SANTACRUZ WEST, MUMBAI 400054	INDIA	INC27F058838	228589390	3.75	FPC	AACCO6345H
2	IN300142	10723837	DAYSIDE INVESTMENT LTD	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	INDIA	INMUF009514	91775672	1.50	FPC	AAED02132M
3	IN300167	10031267	BAILLIE GIFFORD PACIFIC FUND A SUB FUND OF BAILLIE GIFFORD OVERSEAS GROWTH FUNDS ICVC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUKFP193715	58213618	0.95	FPC	AADCR4909B
4	IN303438	10016654	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP068617	42997467	0.70	FPC	AAATY0918K
5	IN303438	10003257	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP101917	39072907	0.64	FPC	AAATV0442N
6	IN300054	10076881	GOVERNMENT PENSION FUND GLOBAL	CITIBANK N.A. CUSTODY SERVICES FIFC-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-NO-FP-1305-16	38530356	0.63	FPC	AACCN1454E
7	IN303786	10000836	SOCIETE GENERALE	SBI SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA ANNEXE BUILDING, A WING GR FLOOR, S V ROAD SANTACRUZ WEST, MUMBAI 400054	INDIA	INFRFP020917	37248641	0.61	FPC	AAACS7484C
8	IN300054	10105077	ISHARES CORE EMERGING MARKETS MAURITIUS CO	CITIBANK N.A. CUSTODY SERVICES FIFC-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-MU-FP-0520-15	23889701	0.39	FPC	AADCI1923N
9	IN300054	10013410	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	CITIBANK N.A. CUSTODY SERVICES FIFC-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0314-16	23135917	0.38	FPC	AACCD1644G
10	IN300142	10752037	HSBC GLOBAL INVESTMENT FUNDS - ASIA EX JAPAN EQUITY SMALLER COMPANIES	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	INDIA	INLUF0241116	17998651	0.29	FPC	AADCH0960Q
11	IN303438	10020249	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST II	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP012120	15842934	0.26	FPC	AAADTV1640C
12	IN300142	20774577	CITY OF NEW YORK GROUP TRUST	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	INDIA	INUSFP213516	14764327	0.24	FPC	AAATC8419K
13	IN300167	10029025	PACIFIC HORIZON INVESTMENT TRUST PLC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUKFP221416	12545451	0.21	FPC	AAECP0276C
14	IN303173	20064664	ICG Q LIMITED	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI 400097	INDIA	INMUF0150615	11049982	0.18	FPC	AABCI4677H
15	IN303438	10016687	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP172617	9830184	0.16	FPC	AAAAE3545P
16	IN300054	10040054	DIMENSIONAL EMERGING MARKETS VALUE FUND	CITIBANK N.A. CUSTODY SERVICES FIFC-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0337-16	9141208	0.15	FPC	AACCD1578M
17	IN303438	10013442	STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY PORTFOLIO	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INNLFP147615	7721021	0.13	FPC	AAVCS6547E
18	IN303173	20004108	GOLDEN OAK (MAURITIUS) LIMITED	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI 400097	INDIA	INMUF056216	7680038	0.13	FPC	AAECG0852B
19	IN303438	10017116	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP176217	6545892	0.11	FPC	AAAAE365K
20	IN300054	10013268	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0339-16	6440947	0.11	FPC	AAADT0768G
21	IN300167	10032725	CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP111015	6222789	0.10	FPC	AAATC6038J
22	IN300167	10032807	VANGUARD FTSE ALL-WORLD EX-US INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP072417	6204651	0.10	FPC	AAATV8899E
23	IN300054	10105204	ISHARES CORE MSCI EM IMI UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-IR-FP-0734-15	5895850	0.10	FPC	AADCI7836E
24	IN300142	20772391	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST	INDIA	INUSFP023316	5629785	0.09	FPC	AAJTS4147D



25	IN300054	10004986	LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) LIMITED	MUMBAI 400063 CITIBANK N.A. CUSTODY SERVICES FIF-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-UK-FP-1156-17	5235294	0.09	FPC	AABL0025A
26	IN300054	10104453	ISHARES INDIA SC MAURITIUS COMPANY	CITIBANK N.A. CUSTODY SERVICES FIF-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-MU-FP-0503-15	4796401	0.08	FPC	AACCI7987F
27	IN300054	10105085	ISHARES CORE TOTAL INTERNATIONAL STOCK MAURITIUS CO	CITIBANK N.A. CUSTODY SERVICES FIF-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-MU-FP-0521-15	4686637	0.08	FPC	AADCI1924M
28	IN300054	10086325	GENERAL ORGANIZATION FOR SOCIAL INSURANCE	CITIBANK N.A. CUSTODY SERVICES FIF-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-SD-FP-0899-15	4365343	0.07	FPC	AAAG00503K
29	IN300167	10068038	SCHWAB EMERGING MARKETS EQUITY ETF	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP200617	4062531	0.07	FPC	AAIT57338F
30	IN300167	10049693	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP083617	3774601	0.06	FPC	AAACC9040K
31	IN301524	30051542	TATA INDIAN OPPORTUNITIES FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI 400001	INDIA	INMUPF029720	3300000	0.05	FPC	AACCT7112K
32	IN300142	10735764	CLSA GLOBAL MARKETS PTE. LTD.	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	INDIA	INSGFP032815	3060531	0.05	FPC	AAFC06617F
33	IN300054	10085015	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	CITIBANK N.A. CUSTODY SERVICES FIF-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0952-15	2854497	0.05	FPC	AACTN1585B
34	IN301799	10083518	BAYVX A2 FONDS	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI 400051	INDIA	INGEFP007416	2700914	0.04	FPC	AAAAB6259M
35	IN300167	10140891	BLACKROCK COLLECTIVE INVESTMENT FUNDS - ISHARES EMERGING MARKETS EQUITY INDEX FUND (UK)	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUKFP336316	2677394	0.04	FPC	AAAT88741N
36	IN303438	10021660	QUADRATURE CAPITAL VECTOR SP LIMITED	400001 JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INCYFP003521	2624676	0.04	FPC	AAACQ7172Q
37	IN300167	10046959	MANAGED PENSION FUNDS LIMITED	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUKFP133617	2421651	0.04	FPC	AAFCM5744B
38	IN300054	10013250	THE EMERGING MARKETS SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES FIF-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0338-16	2392328	0.04	FPC	AAATO7822R
39	IN300054	10039005	PGM WORLD EQUITY II B. V.	CITIBANK N.A. CUSTODY SERVICES FIF-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-NL-FP-2637-16	2364599	0.04	FPC	AAFCP2562E
40	IN303438	10018480	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP022719	2059060	0.03	FPC	AACTV9551H
41	IN300142	10759217	NORTHERN LIGHTS FUND TRUST IV - MOERJUS WORLDWIDE VALUE FUND	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063	INDIA	INUSFP008018	1974334	0.03	FPC	AADAN8403A
42	IN300142	20771964	CANADA PENSION PLAN INVESTMENT BOARD	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	INDIA	INCAFP116417	1733000	0.03	FPC	AADCC3918P
43	IN300167	10146165	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP242917	1711840	0.03	FPC	AAACT1007C
44	IN300054	10103194	WISDOMTREE EMERGING MARKETS EX-STATE-OWNED ENTERPRISES FUND	400001 CITIBANK N.A. CUSTODY SERVICES FIF-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0262-15	1685836	0.03	FPC	AAATW3397G
45	IN300054	10043327	OPSEU PENSION PLAN TRUST FUND	CITIBANK N.A. CUSTODY SERVICES FIF-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-CA-FP-2153-15	1543693	0.03	FPC	AAATO1167G
46	IN300054	10017712	EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIF-9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0315-16	1434389	0.02	FPC	AABCE6264C
47	IN300142	20772422	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	INDIA	INUSFP022816	1381613	0.02	FPC	AAIT50342K
48	IN303173	20200665	INDIA CAPITAL GROWTH FUND LIMITED	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE I CUSTODY SERV INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI	INDIA	INGUFP030519	1380018	0.02	FPC	AAFC11116F

49	IN300126	11290631	DWAITH PARTNERS LLC	400097 HDFC BANK LIMITED CUSTODY OPERATIONS EMPIRE PLAZA 1 4TH FLOOR LBS MARG CHANDAN NAGAR VIRHROU WEST MUMBAI 400083	INDIA	INUSFP083521	1350000	0.02	FPC	AAICD8664Q
50	IN300054	10101813	STICHTING BEDRIJFSTAKPENSIOENFONDS VOOR DE DETAILH ANDEL	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-NL-FP-0236-19	1311955	0.02	FPC	AAVCS0129E
51	IN300167	10047358	VANGUARD TOTAL WORLD STOCK INDEX FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP067417	1293641	0.02	FPC	AABTV0279R
52	IN303438	10015452	PUB EQUITIES EMERGING MARKETS 1	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INCHFP001517	1286699	0.02	FPC	AACTP9572E
53	IN303438	10013506	TEACHERS RETIREMENT SYSTEM OF THE CITY OF NEW YORK	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP163115	1236117	0.02	FPC	AACTT5549D
54	IN300167	10156689	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL F UNDS ICVC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUKFP032216	1227372	0.02	FPC	AAACCD1506B
55	IN300142	20770700	VANGUARD FUNDS PUBLIC LIMITED COMPANY - VANGUARD F TSE EMERGING MARKETS UCITS ETF	400001 HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	INDIA	INIRFP072617	1175736	0.02	FPC	AAECV0884E
56	IN300054	10109264	ISHARES MSCI EMERGING MARKETS SMALL-CAP ETF	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0387-19	1110324	0.02	FPC	AAFCI3338D
57	IN300142	20772439	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	INDIA	INUSFP022916	1094805	0.02	FPC	AAHTS6002P
58	IN300054	10074905	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTM ENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0317-16	1092132	0.02	FPC	AABCW4402G
59	IN300054	10106088	ISHARES IV PUBLIC LIMITED COMPANY- ISHARES MSCI EM IMI ESG SCREENED UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-IR-FP-0680-18	1055409	0.02	FPC	AAFCO124F
60	IN300054	10105132	ISHARES MSCI EM SMALL CAP UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-IR-FP-2571-16	1004252	0.02	FPC	AADCJ3486J
61	IN300054	10090210	FIDELITY SALEM STREET TRUST FIDELITY TOTAL INTERNA TIONAL INDEX FUND	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-1501-16	992834	0.02	FPC	AAATF5391H
62	IN303173	20248181	ENVISION INDIA FUND	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE 1 CUSTODY SERV INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI 400097	INDIA	INMUFPO55220	990000	0.02	FPC	AAFC9371A
63	IN300167	10161653	MOERUS GLOBAL VALUE FUND MASTER (CAYMAN) LTD	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INCYFP017620	945374	0.02	FPC	AALCM4551C
64	IN300167	10100587	EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS P LC	400001 DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INIRFP027616	936634	0.02	FPC	AAAAE2450P
65	IN300167	10021055	ALASKA PERMANENT FUND	400001 DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP117816	896558	0.01	FPC	AADTA7800K
66	IN300142	10779541	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063	INDIA	INUSFP130016	885485	0.01	FPC	AAATP5186E
67	IN300054	10115788	DIMENSIONAL WORLD EX U.S. CORE EQUITY 2 ETF OF DIM ENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0614-21	824726	0.01	FPC	AAICD6956H
68	IN300054	10097678	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0240-18	805056	0.01	FPC	AAECE9320Q
69	IN300167	10159933	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKET S EQUITY ETF	400098 DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP083219	781219	0.01	FPC	AAHTA5157H
70	IN303438	10018836	HANDELSBANKEN GLOBAL INDEX CRITERIA	400001 JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INSEFP042319	764440	0.01	FPC	AAQAH5134P
71	IN303438	10014327	BVK PERSONALVORSORGE DES KANTONS ZURICH	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INCHFP113016	756896	0.01	FPC	AACTB5009N
72	IN300054	10041602	LEGAL & GENERAL GLOBAL EMERGING MARKETS INDEX FUND	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-UK-FP-2176-16	736501	0.01	FPC	AABT78331L

73	IN300142 10778300	BAILLIE GIFFORD EMERGING MARKETS COLLECTIVE INVESTMENT FUND	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063	INDIA	INUSFP014421	711636	0.01	FPC	AAETB3195M
74	IN303438 10015477	PUB EQUITIES EMERGING MARKETS 2	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INCHFP001417	702959	0.01	FPC	AACTP9573F
75	IN303438 10012747	THE MASTER TRUST BANK OF JAPAN, LTD. AS TRUSTEE FOR GOVERNMENT PENSION INVESTMENT FUND MTB1400045828	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INIPFP023215	696900	0.01	FPC	AACTT4168I
76	IN300167 10100511	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INIRFP027716	674953	0.01	FPC	AABCE7501L
77	IN300054 10074841	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0216-16	651758	0.01	FPC	AABCW4401F
78	IN303438 10016679	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP172517	641816	0.01	FPC	AAAAE3543M
79	IN300054 10102206	WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-MU-FP-0800-15	623523	0.01	FPC	AAACW7548L
80	IN300054 10112036	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0827-20	600540	0.01	FPC	AAJCD0004F
81	IN300054 10112315	INTERNATIONAL MONETARY FUND	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-2878-16	597100	0.01	FPC	EXEMPTCATG
82	IN300142 10712481	HSBC POOLED INVESTMENT FUND - HSBC POOLED VC ASIA PACIFIC EX JAPAN EQUITY FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	INDIA	INHKFP132517	529922	0.01	FPC	AAAAH5955J
83	IN300054 10116078	QIC LISTED EQUITIES FUND	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-AU-FP-0640-21	517264	0.01	FPC	AAATQ0643F
84	IN300142 20772123	SPDR PORTFOLIO EMERGING MARKETS ETF	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	INDIA	INUSFP131416	503135	0.01	FPC	AAGTS4584D
85	IN303622 10012466	ARIAL HOLDINGS 1	FIFTH FLOOR, EBENE ESPLANADE 24 BANK STREET, CYBERCITY EBENE MAURITIUS 111111	INDIA	INMUFP010314	500000	0.01	FPC	AAKCA6796Q
86	IN300142 20770718	VANGUARD FUNDS PUBLIC LIMITED COMPANY - VANGUARD FTSE ALL-WORLD UCITS ETF	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	INDIA	INIRFP072917	489419	0.01	FPC	AAECV0901E
87	IN300054 10023614	UTAH STATE RETIREMENT SYSTEMS	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-2719-16	447461	0.01	FPC	AAATU2901Q
88	IN300167 10128284	STATE STREET GLOBAL ALL CAP EQUITY EX-US INDEX PORTFOLIO	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INUSFP143615	439553	0.01	FPC	AAPTS4453E
89	IN300167 10121383	SSGA SPDR ETFS EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INIRFP026114	438085	0.01	FPC	AAUCS9527P
90	IN303438 10018375	VANGUARD ESG INTERNATIONAL STOCK ETF	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INUSFP014619	428610	0.01	FPC	AACTV8876B
91	IN300167 10158703	MERCER UCITS COMMON CONTRACTUAL FUND - NFU MUTUAL GLOBAL REGIONAL CORE FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INIRFP060519	398043	0.01	FPC	AAGTM2759J
92	IN300054 10100216	EMERGING MARKETS TARGETED VALUE PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIF- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	INDIA	IN-US-FP-0735-18	391650	0.01	FPC	AAACE2416E
93	IN301524 30049717	DFA INTERNATIONAL CORE EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI 400001	INDIA	INCAFP268915	344686	0.01	FPC	AA8TD3438N
94	IN300142 10767549	XTRACKERS MSCI ACWI EX USA ESG LEADERS EQUITY ETF	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063	INDIA	INUSFP037819	339914	0.01	FPC	AAATX0167D
95	IN303786 10007557	SOCIETE GENERALE - ODI	SBI-SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA EXTENSION BUILDING GROUND FLOOR 5 V ROAD SANTAGRUZ WEST MUMBAI 400054	INDIA	INFRFP100119	337406	0.01	FPC	AABCS7484C
96	IN300167 10157171	FRANKLIN LIBERTYSHARES ICAV - FRANKLIN FTSE INDIA UCITS ETF	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	INDIA	INIRFP039619	326700	0.01	FPC	AADCFF289G
97	IN300167 10124393	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	DEUTSCHE BANK AG, DB HOUSE 400001	INDIA	INIRFP033015	322174	0.01	FPC	AAJCM5945N



122	IN303438	10006941	SHELL PENSIONS TRUST LIMITED AS TRUSTEE OF THE SHELL CONTRIBUTORY PENSION FUND	INDIA	INUKFP044415	116488	0.00	FPC	AAETS8177Q
123	IN303438	10013784	VANGUARD ASIA EX JAPAN SHARES INDEX FUND	INDIA	INAUFP243315	115319	0.00	FPC	AACTV0827C
124	IN300167	10041027	SA EMERGING MARKETS VALUE FUND	INDIA	INUSFP116216	114324	0.00	FPC	AAHTS0854M
125	IN300142	20772107	SPDR S AND P EMERGING ASIA PACIFIC ETF	INDIA	INUSFP131516	112587	0.00	FPC	AAGTS4583E
126	IN300167	10131729	STATE STREET IRELAND UNIT TRUST STATE STREET IUT EMERGING MARKET EQUITY INDEX FUND	INDIA	INIRFP216215	104011	0.00	FPC	AAPT59525B
127	IN300167	10151319	UBS (US) GROUP TRUST	INDIA	INUSFP226916	95985	0.00	FPC	AAATU2988B
128	IN300054	10038629	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING	INDIA	IN-US-FP-2461-15	93909	0.00	FPC	AABTN0934H
129	IN300054	10084852	QIC INTERNATIONAL EQUITIES FUND	INDIA	IN-AU-FP-0713-16	91730	0.00	FPC	AAATQ2065H
130	IN300054	10115497	DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS SUSTAINABILITY TRUST	INDIA	IN-AU-FP-0519-21	90424	0.00	FPC	AADTD8454E
131	IN303438	10006451	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	INDIA	INAUFP233415	85382	0.00	FPC	AABTB6669D
132	IN303438	10006838	SPP EMERGING MARKETS SRI	INDIA	INSEFP253416	79976	0.00	FPC	AAFAS4470A
133	IN303438	10014255	ALLIANZ GLOBAL INVESTORS GMBH ACTING ON BEHALF OF ALLIANZGI-FONDS DSPT	INDIA	INGEFP084616	76229	0.00	FPC	AADAA7542B
134	IN300167	10160958	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	INDIA	INUSFP004020	74379	0.00	FPC	AAHTA6005C
135	IN300142	10773580	HSBC ETFs PLC - HSBC EMERGING MARKET SUSTAINABLE EQUITY UCITS ETF	INDIA	INIRFP063520	73990	0.00	FPC	AAFCH3714C
136	IN300054	10106220	THE MASTER TRUST BANK OF JAPAN, LTD. AS TRUSTEE FOR NISSAY NIKKEI ASIA 300 I ACTIVE MOTHER FUND	INDIA	IN-JP-FP-0452-18	73280	0.00	FPC	AAFTM7871A
137	IN300142	10773563	HSBC ETFs PLC - HSBC ASIA PACIFIC EX JAPAN SUSTAINABLE EQUITY UCITS ETF	INDIA	INIRFP063420	71139	0.00	FPC	AAFCH3625N
138	IN300142	10773741	REASSURE LIMITED	INDIA	INUKFP080119	68521	0.00	FPC	AAKCR3582R
139	IN303438	10019701	COPTHALL MAURITIUS INVESTMENT LIMITED - NON ODI ACCOUNT	INDIA	INMUFPO86419	63106	0.00	FPC	AAACC4303M
140	IN300167	10113756	BLACKROCK AQUILA EMERGING MARKETS FUND	INDIA	INUKFP322416	62757	0.00	FPC	AABTA6155F
141	IN303438	10011537	FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TILT INDEX FUND	INDIA	INUSFP242515	61957	0.00	FPC	AAATF4143K
142	IN300054	10080999	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	INDIA	IN-US-FP-1649-15	60771	0.00	FPC	AAATJ9049G
143	IN300142	10783803	SPDR BLOOMBERG SASB EMERGING MARKETS ESG SELECT ETF	INDIA	INUSFP003422	52193	0.00	FPC	ABHCS6726I
144	IN300167	10150969	VANGUARD INVESTMENTS FUNDS ICVC-VANGUARD FTSE GLOBAL ALL CAP INDEX FUND	INDIA	INUKFP043018	49723	0.00	FPC	AAGCV3937J
145	IN300142	10758958	BCT POOLED INVESTMENT FUND SERIES - SMART ASIAN EQUITY FUND	INDIA	INHKFP003218	48410	0.00	FPC	AADTB2792F

146	IN300142	10743212	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	GOREGAON EAST, MUMBAI 400063 HSBC SECURITIES SERVICES 11TH FLR, BLDG NO 3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INDIA	INUSFP216615	41544	0.00	FPC	AABTP6710H
147	IN301799	10089571	ALLIANZ GLOBAL INVESTORS GMBH ACTING ON BEHALF OF ALLIANZGI-S AKTIEN	400063 BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	INDIA	INGEFP025620	35710	0.00	FPC	AALAA0121C
148	IN300142	20772578	WASHINGTON STATE INVESTMENT BOARD MANAGED BY STATE STREET GLOBAL ADVISORS GUARANTEED EDUCATION TUITION-GET	400051 HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	INDIA	INUSFP098215	34767	0.00	FPC	AAAIW0112B
149	IN303622	10009583	ALTIUS CAPITAL FUND	400063 C/O AAA GLOBAL SERVICES LTD 15TH FLOOR, THE EXCHANGE 18 CYBERCITY, EBENE MAURITIUS	INDIA	INMUF103617	30750	0.00	FPC	AAHCA4972B
150	IN303173	20000220	PREMIER INVESTMENT FUND LIMITED	111111 C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG NO.21, 6TH FLR ZONE IV,CUSTODY SERVS, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI	INDIA	INMUF067016	30000	0.00	FPC	AACP9387L
151	IN300167	10021264	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF MISSISSIPPI	400097 DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP051117	29945	0.00	FPC	AADCP8726G
152	IN301524	30053007	DFA GLOBAL SUSTAINABILITY CORE EQUITY FUND	400001 STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI	INDIA	INCAFP015221	29453	0.00	FPC	AADT07668L
153	IN301524	30034817	GOLDMAN SACHS (SINGAPORE) PTE	400001 STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI	INDIA	INSGFP243415	26819	0.00	FPC	AAFG0345N
154	IN301799	10088827	BNP PARIBAS ARBITRAGE - ODI	400001 BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	INDIA	INFRFP006620	24472	0.00	FPC	AAGF85324G
155	IN300054	10103356	WISDOMTREE INDIA EX-STATE-OWNED ENTERPRISES FUND	400051 CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	IN-US-FP-0126-19	24010	0.00	FPC	AAATW5311J
156	IN300054	10117128	EMERGING MARKETS SUSTAINABILITY CORE EQUITY FUND OF DIMENSIONAL FUNDS PLC	400098 CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	IN-IR-FP-0883-21	17170	0.00	FPC	AAGCE7671Q
157	IN300167	10147609	FRANKLIN TEMPLETON ETF TRUST - FRANKLIN FTSE ASIA EX JAPAN ETF	400098 DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP003418	17076	0.00	FPC	AAATF6339F
158	IN300167	10159968	GOLDMAN SACHS ETF TRUST - GOLDMAN SACHS MARKETBETA EMERGING MARKETS EQUITY ETF	400001 DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP084319	14924	0.00	FPC	AADTG4990L
159	IN300167	10148961	CF DV ACWI EX-U.S. IMI FUND	400001 DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP018918	13770	0.00	FPC	AACT2858A
160	IN300142	20772406	STATE STREET MSCI ACWI EX USA IMI SCREENED NON-LENDING COMMON TRUST FUND	400001 HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	INDIA	INUSFP234015	13322	0.00	FPC	AAPT58365R
161	IN300167	10161854	STATE STREET GLOBAL ADVISORS, AUSTRALIA SERVICES LIMITED AS THE RESPONSIBLE ENTITY OF SPDR S&P EMERGING MARKETS FUND	400063 DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INAUFP022520	13234	0.00	FPC	AAZTS3290F
162	IN300054	10092965	AMUNDI MODERATE GROWTH FUND	400001 CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	IN-HK-FP-0040-17	12526	0.00	FPC	AACTV3427G
163	IN303438	10018844	HANDELSBANKEN EMERGING MARKETS INDEX	400098 JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INDIA	INSEFP042219	10881	0.00	FPC	AACAH5062N
164	IN301524	30039021	UBS PRINCIPAL CAPITAL ASIA LTD	400064 STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI	INDIA	INSGFP062014	10247	0.00	FPC	AABCU7548R
165	IN301524	30029831	INDIA OPPORTUNITIES GROWTH FUND LTD - PINWOOD STRATEGY	400001 STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI	INDIA	INMUF226415	10000	0.00	FPC	AACCI5037L
166	IN301348	20011233	ELARA INDIA OPPORTUNITIES FUND LIMITED	400001 C/O. ICICI BANK LTD., SMS 15TH FLOOR, EMPIRE COMPLEX 414, SENAPATI BAPAT MARG LOWER PAREL, MUMBAI	INDIA	INMUF242916	6000	0.00	FPC	AABCE6307N
167	IN300142	10777921	VANGUARD FUNDS PLC / VANGUARD ESG GLOBAL ALL CAP UCITS ETF	400013 HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	INDIA	INIRFP016921	5822	0.00	FPC	AAHCY9696F
168	IN301799	10081740	BNP PARIBAS ARBITRAGE	400063 BNP PARIBAS, CUSTODY OPERATIONS BNP PARIBAS HOUSE, 6TH FLOOR 1 NORTH AVENUE, MAKER MAXITY BKC, BANDRA EAST, MUMBAI	INDIA	INFRFP085515	4504	0.00	FPC	AAGF85324G
169	IN300167	10169081	AMERICAN CENTURY ETF TRUST-AVANTIS RESPONSIBLE EMERGING MARKETS EQUITY ETF	400051 DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP019322	2631	0.00	FPC	AAITA0542Q

170	IN303438	10015766	VERDIPAPIRFONDET STOREBRAND INDEKS NYE MARKEDER	400001 JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM 8 MINDSPACE, MALAD W, MUMBAI 400064	INDIA	INN0F P070617	1002	0.00	FPC	AAFCV6500N	
171	IN300054	10109504	BOFA SECURITIES EUROPE SA	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400008	INDIA	IN-FR-FP-0885-19	771	0.00	FPC	AAICB3737G	
<b>TOTAL:</b>											<b>838289245</b>

For **IDFC FIRST Bank Limited**

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**Satish Gaikwad**

*Head - Legal & Company Secretary*

Membership No: - F 6494

Add: - Naman Chambers, C-32, G-Block

Bandra-Kurla Complex, Bandra (E),

Mumbai 400 051

# MAKARAND M. JOSHI & CO.

## Company Secretaries

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Ecstasy, 803/804, 8<sup>th</sup> Floor, City of Joy, J.S.D Road, Mulund (West), Mumbai- 400080, (T) 022- 21678100

### Form No. MGT-8

[Pursuant to Section 92 (2) of the Companies Act, 2013 and rule 11 (2) of Companies (Management and Administration) Rules, 2014]

We have examined the registers, records and books and papers of **IDFC FIRST BANK LIMITED** [CIN: L65110TN2014PLC097792] ('the **Bank**') as required to be maintained under the Companies Act, 2013 ('**Act**') and the rules made thereunder for the Financial Year ended on 31<sup>st</sup> March, 2022('Audit period').

In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Bank, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Bank has complied with provisions of the Act and Rules made there under, in respect of:
  1. its status under the Act;
  2. maintenance of registers/records & making entries therein within the time prescribed therefore;
  3. filing of required forms and returns with the Registrar of Companies, Central Government and others authorities. Further, no forms and returns filed with Regional Director, the Tribunal or Court.
  4. calling/ convening/ holding meetings of Board of Directors or its committees, and the meetings of the members of the Bank on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the Circular Resolutions and resolutions by postal ballot have been properly recorded in the Minutes Book/registers maintained for the purpose and the same have been signed.
  5. closure of Register of Members / Security holders, as the case may be;



6. advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act; **Being a Banking Company, giving loans is considered as an Ordinary Course of business hence provisions of Section 185 are not applicable.**
7. contracts/arrangements with related parties as specified in section 188 of the Act;
8. Issue, allotment, transfer, transmission of securities, and buy back & redemption of bonds issued by the Bank. However, there was no redemption of preference shares. Further, there was increase in issued, subscribed, & paid-up share capital of the Bank and issue of securities certificates, in all instances;
9. keeping in abeyance the rights to dividend, right shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act; **No such event occurred**
10. declaration/payment of dividend, **No such event occurred**  
Bank has transferred unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of Section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/re-constitution of Committee(s)/ appointment/ re-appointments/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them. However , there was no instance to fill up casual vacancies;
13. Appointment/re-appointment of auditor as per the provisions of Section 139 of the Act. Further there were no instance of filling of casual vacancies of auditors;
14. approval have been taken whenever required from Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act, as may be applicable. **No such event occurred**
15. being a Banking Company, provisions of Section 73 and 74 of the companies Act , 2013 (acceptance / renewal/ repayment of deposits from public) are not applicable;
16. borrowings from public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, whenever applicable; **Further there were no instances of borrowings from its Directors or members;**
17. being a Banking Company, loans made or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act are not applicable. Further, the Bank has made investments in compliance of Section 186 of the Act;

18. alteration of provision of its Memorandum and/ or Articles of Association of the Bank. No such event occurred

**For Makarand M. Joshi & Co.  
Practicing Company Secretaries**

KUMUDINI DINESH BHALERAO  
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**Kumudini Bhalerao**

**Partner**

**FCS No. 6667**

**CP No. 6690**

**UDIN: F006667D000958420**

**Place: Mumbai**

**Date: September 12, 2022**