RE-KYC FORM FOR INSTITUTIONAL ACCOUNT OPENING



Please fill the form in Black Ink and in CAPITAL LETTERS. ALL fields marked "**" are mandatory.						
Date of Re-KYC						
Customer ID						
	TELL US ABOUT YOUR BUSINESS					
*Entity Name						
Account Title						
*Entity Type						
Trust	Association Section 8 Company					
Society	Government International Unregistered Club (Rotary/Lions etc)					
LO/BO/PO - TASC	SHG					
*Segment						
Education	Healthcare NGO Religious Institution					
Clubs	Sports Association Trade Association Professional Association					
Housing Society/RWA	Private Trust Central Govt. Org.					
State Govt. Dept./Agency	Govt. Scheme Funds Local Authority/Body Defence					
Co-operative Credit Society	Quasi-Govt./Autonomous Body SHG - Registered / Unregistered					
*Sub-Segment						
School	College University National Institutes (IIT/IIM/NI etc.)					
Hospital Temple	Research Centre CSR Foundation Charitable/Social/Wlfare Church Mosque/Dargah Gurudwara					
Endowment Board	Diocese Waqf Board SGPC					
Sport Club	Private Club Gymkhana Family Trust					
PF Trust	Gratuity/SA Trust Pension Fund Trust ESOP Trust					
Gram Panchayat	Office of DDO/BDO Muncipal Corporation Muncipality/Muncipal Council					
*PAN *Gross Annual Turnover (in INR)						
International Trade Turnover (in INR)						
Website Address						

8/01/12-2019



In case of change in communication address or business address, Please fill the address change form & provide the proof of the new address

ENTITY PROOF						
*Entity Proof: Name of Document						
ID Number (If applicable) Expiry Date (If applicable)	YYY					
*Address Proof: Name of Document						
ID Number (If applicable) Expiry Date (If applicable)	YYY					
Additional Documents Shared						
1 2 2						
3 4 4						
Additional Document IDs (If applicable)						
CIN						
Sales Tax Excise Excise						
✓ TELL US ABOUT THE PEOPLE WHO WILL BE OPERATING THIS ACCOUNT SIGNATORY 1 SIGNATORY 2						
Title Mr. Ms. Mrs. Title Mr. Ms. Mrs.						
*First Name						
*Last Name *Last Name *Last Name	++					
Designation Designation	+					
*DOB DD MM YYYY						
*PAN PAN PAN						
DIN DIN DIN						
*Nationality *Nationality *Nationality *Nationality	+					
Landline						
*E-mail ID *E-mail ID	$\neg \neg$					
	$\forall \exists$					
*Identity Proof						
Name of Document Name of Document	+					
Document ID No.						
Expiry Date Expiry Date Expiry Date Expiry Date						

Contd...2/6



DECLARATION (Please read carefully and sign at the end of this section after you have filled in all the details in the form)

- 1. I/We am/are availing the banking facilities/products from IDFC FIRST Bank Limited ("IDFC FIRST Bank"), and have read, understood and agree to the Terms and Conditions displayed on the website of IDFC FIRST Bank i.e. www.idfcfirstbank.com, which may be amended by IDFC FIRST Bank from time to time and hosted and notified on the website of IDFC FIRST Bank.
- 2. I/We have read, understood and agree to the charges/costs, mentioned in the extant Schedule of Charges. This Schedule of Charges is also displayed on www.idfcfirstbank.com
- 3. I/We agree to abide by and be bound by all applicable rules/regulations/instruction/guidelines issued by the Reserve Bank of India, and under the FEMA regulations, 2000 governing EEFC Accounts, the Foreign Exchange Management Act, 1999 and Foreign Account Tax Compliance Act, 2010 (to the extent applicable to India) and the Common Reporting Standards (CRS), in force from time to time. I/We have declared our status as per the rules applicable under section 285BA of the Income Tax Act, 1961 (the Act) as notified by Central Board of Direct Taxes (CBDT) in this regard.
- 4. I/We authorize IDFC FIRST Bank to conduct my/our credit history verification with CIBIL or any other credit rating agency and acknowledge that IDFC FIRST Bank shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me/us to IDFC FIRST Bank. I/We declare that I/we have not availed any credit facility from any bank or have obtained NOC from such bank(s) for opening of a current account with IDFC FIRST Bank.
- 5. I/We agree to furnish and intimate to IDFC FIRST Bank any other particulars that we are called upon to provide on account of any change in law/statutory requirements either in India or abroad. I/We authorize IDFC FIRST Bank to exchange, share or part with all the Customer Information/KYC documents provided herein with financial institutions/agencies/statutory bodies/other such persons including but not limited to financial products/services providers e.g. Insurance companies, Asset Management Companies etc. which whom IDFC FIRST Bank has agency/distribution/marketing arrangement, as may be required by IDFC FIRST Bank. I/We shall not hold IDFC FIRST Bank or its agents/ representatives liable for using/sharing such information.
- 6. I/We declare that: (a) there is no change in the communication or business address provided at the time of account opening. (b) The information provided herein as well as in the documentary evidence provided by me/us to IDFC FIRST Bank (the "Customer Information") is true, correct and complete in all aspects to the best of my/our knowledge and (c) I/We have not withheld any Customer Information that may affect the assessment/categorization of the account as a Reportable account or otherwise. (d) Any false/misleading Customer Information given by me/us or suppression of any material fact will render my/our account liable for closure and the bank shall have the right to initiate any action, under law or otherwise.
- 7. If any of the information provided here is incorrect, I/We hereby agree to indemnify and keep indemnified IDFC FIRST Bank, affiliates and their successors or assignees.
- 8. I/We agree and understand that IDFC FIRST Bank reserves the right to discontinue/suspend any products / services / offerings without assigning any reason thereof and without being liable to me/us in any manner whatsoever.
- 9. I understand, acknowledge and authorize that as per the provisions of Income Tax Act, Rules made thereunder and the guidelines issued by the Government/RBI in the matter, depending upon the residential status and/or other criteria stipulated therein, the Bank may have to report the details in respect of my account(s) as per the prescribed format to the Central Board of Direct Taxes (CBDT) or other Government Agencies to comply with the obligations as per the Inter-Governmental Agreements (IGA) in respect of Foreign Accounts T. Compliance Act (FATCA) and Common Reporting Standards (CRS) and / or any other similar arrangements.
- 10. I undertake the responsibility to declare, disclose and recertify within 30 days any changes that may take place in the information provided in the account opening form and signed by me as well as in the documentary evidence provided by me or if any certifies tion become incorrect.
- 11. I also agree that our failure to disclose any material fact known to me now or in future, may invalidate my application and IDFC FIRST Bank would be within its right to put restrictions in the operations of my account or take appropriate action permissible under the Indian regulations for the purpose or take any other action as may deemed appropriate if the deficiency is not updated/rectified by me within the stipulated period.
- 12. I agree to furnish any particulars/information that is called upon me by IDFC FIRST Bank on account of any change in law either in India or abroad in the subject matter herein.
- 13. In the event there is any tax demand {including interest(if any)} raised due to nondisclosure/inaccurate disclosure of information/documents on my/our part, I undertake to pay the demand forthwith and provide the bank with all information/documentws that may be necessary for any proceeding before GOI/RBI/Income Tax Authorities.
- 14. By opening an account; I/We are willing to enroll and avail Doorstep banking non-cash services (i.e. pick-up and/or delivery of cheque and/or documents) details of which are available on www.idfcfirstbank.com
- 15. IDFC FIRST Bank protects the interest of its customers against any unauthorised electronic banking transaction. You may visit Bank's website www.idfcfirstbank.com for more details in respect of the same.

Would you like IDFC FIRST Bank to contact you and tell you about new products, features and offers? Name of Signatory 1_ Name of Signatory 2_ **RECENT RECENT** Colour Colour Photograph Photograph Signature Signature **BANK USE SECTION** Customer ID Account No. **Banker Certification** Communication I have met the customer at his: Business Address Other Address I have seen the original KYC documents and returned these to the customer. Copy/Photo taken for record The customer has signed in my presence Signature/Stamp Date Name Employee ID _

Contd...3/6



	ANNEXURE 1 - FATCA/CRS DECLARATION					
Α	Incorpo	ration Information	Details			
	Place of	f Incorporation				
	Country of Incorporation					
	Company Identification Number					
В	Declaration of Tax Residency					
	Sr. No.	Country(ies) of Tax Residency	Tax Identification Number			
С	Exclusio	n Category, if applicable, for tax residents outside India (Refer Glossary)	Details			
1	US Persons US Persons					
2	Other than US Persons					

Note: Please attach a copy of the Tax Residency Certificate/Copy of Incorporation or Equivalent Document for each of the countries mentioned above.

ANNEXURE 2 - FATCA/CRS DECLARATION						
A. Listed entity/its related entity	Yes	No				
a) Whether the entity is a listed entity? If yes, Listed in NSE, BSE Others (Please specify)						
b) Whether the entity is a related entity^ of a listed entity? Specify the name of the listed company						
B. Non - Individuals other than Listed entity/its related entity (Tick applicable category)						
a) Government Entity						
b) International Organization						
c) Central Bank						
d) Entity wholly owned by a, b or c above						
e) Tax-exempt Entity engaged in a Charitable Purpose						
C. Business						
a) Holding Company (with subsidiaries engaged in non-financial trade or business)						
b) Company providing, financing and hedging services to related entities						
D. Income/Assets Criteria						
 a) 50% or more of the income in preceding financial year is from trading/business activities AND b) 50% or more of the assets in preceding financial year are held for trading/business purposes 						

Contd...4/6



	ANNEXURE 3* - BENEFICIAL OWNERSHIP DECLARATION										
			ntities, subsidiari	es of listed entiti	ies, Governm	ent Entitie	es, and I	Internation	al Organis	ations)	
	e tick the relev The following n	·		helow) evercise	control or ul	timately h	2VA 2 C	ontrolling o	wnershin	interest i e	
The following natural person(s) (listed in table below) exercise control or ultimately have a controlling ownership interest i.e. having ownership/entitlement of the specified limit (Note 1) of shares/capital/profit/property or exercise control through other means such as voting rights, agreement, arrangement etc.											
				OR							
└ t	There are no natural person(s) who exercise control or ultimately have a controlling ownership interest as stated above, therefore details of all partner(s) (for partnership)/trustees (for trust)/senior managing official (for unincorporated bodies)/directors/senior management (for companies) who are natural person(s) as stated in the below table.										
Sr.	i Name		Please tick the type of Address mentioned R - Residence / O - Office			Date of Birth		Gender	Contro	ol Details	
No	No								(Note 2)	%	
1.					R O						
2.			□ R □ O								
3.					☐ R ☐ O						
4.					☐ R ☐ 0						
5.					 ☐ R ☐ 0						
Sr. No	Nationality		nts (Note 3)	Father's Name (Note 4)	(Service/ Busi	isiness/ Country	ountry of Birth	Country of Residen	_ lae	Tax Identification Number	
		PAN ^{\$}	Document	(11010 1)	Others	5)					
1.											
2.											
3.											
4.											
5.											
2) T (3) F N 4) F	 25% or more in case of a company and 15% or more in other type of entities Types of control - please use the applicable one (a) Ownership (b) Other means (c) Senior managing official (d) Trustee (e) Settlor (f) Protector (g) Beneficiary (h) others Please quote PAN^s, Please provide a copy of any of the following documents: Passport/Voter ID/Driving License/Aadhaar/UIDAI letter/National Population Register Letter and mention the same in the space above. 										
be prov		nais/resident indivi	iduals. For other coun	tries, functional equiva	alent of PAN (like	e rax identifica	ation num	iber or any unio	que number	like SSIN) Can	
I/We agree that I/We will notify IDFC FIRST Bank without delay of any changes to the Beneficial Owner/Controlling natural person, as declared in the table above.											
1. Sigr	1. Signature of Authorised Signatories: 2. Signature of Authorised Signatories:						natories:				
Name	Name Name										
Designation Designation											
Date D D M M Y Y Y Y Y					Y Y						

Contd...5/5



GLOSSARY OF TERMS

IIS Persons

- A tax resident of U.S.

 A U.S. entity or organization incorporated in U.S. a) b)
- A 0.3. entity or organization incorporated in 0.3.

 A partnership or a corporation organized in the U.S. or under the law of the U.S. or any states thereof

 A trust- (i) where a court within the United States would have authority under applicable law to render orders or judgments d) concerning substantially all issues regarding administration of the trust, and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust, An estate of a decedent that is a citizen or resident of the United States
- e)

- Exclusion Categories for U.S. Persons

 a) A corporation the stock of which is regularly traded on one or more established securities markets

 b) Any corporation that is a member of the same expanded affiliated group as defined in section 1471(e)(2) of the U.S. Internal
- d)
- Any corporation that is a member of the same expanded affiliated group as defined in section 1471(e)(2) of the U.S. Interna Revenue Code, as a corporation described in clause (i)
 The United States or any wholly owned agency or instrumentality thereof
 Any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing
 Any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code
 Any hank as defined in section 581 of the U.S. Internal Revenue Code
 Any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code
 Any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code e)

- Any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64); Any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code h)
- Any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code
 A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, (i
- k)
- forwards, and options) that is registered as such under the laws of the United States or any State
 A broker as defined in section 6045(c) of the U.S. Internal Revenue Code
 Any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code m)

- Exclusion Category For other than U.S. Persons
 a) A corporation, the stock of which is regularly traded on one or more established securities markets
- Any corporation that is a related entity of a corporation mentioned above:
- c) d)
- A Governmental Entity
 An International Organisation
- A Central Bank
- A Financial Institution