

PERIODIC UPDATION FORM
Non Individual



Please fill the form in Black Ink and in CAPITAL LETTERS.

CKYCR No.

Date of Application
D D M M Y Y Y Y

CUSTOMER DETAILS

Customer ID Account No. 1
 Account No. 2 Account No. 3
 Entity Name
 PAN No. Gross Annual Turnover (in INR)

For Government and TASC entities:

Entity Type

- Government PSU - Central PSU - State Trust
- Society Association Section 8 Company
- LO/BO/PO - LLP SHG International Unregistered Club (Rotary/Lions etc)

*Line of Business

- Central Govt. Org. State Govt. Dept./Agency Local Authority/Body Quaasi-Govt./Autonomous Body
- Religious Institution Govt. Scheme Funds Education Healthcare
- Housing Society/RWA Private Trust Retiral Trust Trade Association
- NGO Sports Association Clubs Registered/Unregistered SHG
- Co-operative Credit Society Professional Association Banks

Nature of Industry _____ (Please refer annexure for complete list)

For Non-TASC entities:

*Entity Type

- Sole Proprietorship One Person Company Hindu Undivided Family (HUF) Partnership
- Public Limited Company Private Limited Company Limited Liability Partnerships (LLP) Joint Venture (Not incorporated)
- LO/BO/PO - LLP LO/BO/PO - Partnership LO/BO/PO - Pvt Ltd LO/BO/PO - Public Ltd
- Embassy/Consulate/High Commission

*Line of Business

- Manufacturing Service Provider Retail Trade E-Commerce Wholesale Trading Financial Services

Nature of Industry _____ (Please refer annexure for complete list)

DECLARATION

- 1. I/We declare that there is no Politically Influenced Person (PEP) either as Director/ Partner/ Trustee/ Office Bearer/ Promoter/ Authorized signatory/ Beneficial Owner in my/our organization.
OR
- I/We declare that one of our Directors/ Partners/ Trustees/Office Bearers/ Promoters/ Authorized Signatories/ Beneficial Owners in my/our organization is Politically Influenced Persons.
- 2. I/We declare that there is no change in the status of Tax residency of any of our Directors/ Partners/ Trustees/Office Bearers/ Promoters (including proprietor)/Authorized Signatories/ Beneficial Owners in my/our organization. There is no change in FATCA related information of the entity as per our last submission.
*In case of any change in FATCA related information, seperate FATCA annexure is to be filled. (Annexure 2 and Annexure 3 or Annexure 4 as applicable)
- 3. I/We declare that there is no change in the line/nature of business or demographic details or beneficial owners or constitutional documents submitted/declared to the bank.
OR
- I/We declare that there are changes in the demographic details or constitutional documents or beneficial owners and hereby submit duly attested copies of the same.
 - Business/Communication Address
 - Clauses of Partnership deed/LLP Agreement/Trust Deed/Bye laws/Memorandum
 - Directors/Trustees/Members/Office Bearers/Beneficial Owners* (Annexure 1)
 - Entity name (Mentioned the new name below)

NOTE:

1. If there are more than 2 authorised signatories/beneficial owners, use annexure "For details of Authorised Signatories and beneficial owners for RE-KYC".
2. If the authorised signatories are beneficial owners, then tick "YES" and beneficial owners details (section VI) is not to be filled.
3. Cases where there is a new beneficial owner, please mention "NA" in the UCIC field of section VI.
4. Cases where there are changes in authorised signatories, first the customer's RE-KYC shall be done based on available information and then request for addition/deletion of signatories to be processed post updation of RE-KYC.
5. Types of control - please use the applicable one
(a) Ownership (b) Other means (c) Senior managing official (d) Trustee (e) Settlor (f) Protector (g) Beneficiary (h) Others (please specify)

ENTITY PROOF
***Entity Proof**

 Name of Document

 ID Number (If applicable) Expiry Date (If applicable)

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***Address Proof**

 Name of Document

 ID Number (If applicable) Expiry Date (If applicable)

D D M M Y Y Y Y

DECLARATION (Please read carefully and sign at the end of this section after you have filled in all the details in the form)

1. I/We am/are availing the banking facilities/products from IDFC FIRST Bank Limited ("IDFC FIRST Bank"), and have read, understood and agree to the Terms and Conditions displayed on the website of IDFC FIRST Bank i.e. www.idfcfirstbank.com, which may be amended by IDFC FIRST Bank from time to time and hosted and notified on the website of IDFC FIRST Bank. 2. I/We have read, understood and agree to the charges/costs, mentioned in the extant Schedule of Charges. This Schedule of Charges is also displayed on www.idfcfirstbank.com. 3. I/We agree to abide by and be bound by all applicable rules/regulations/instruction/guidelines issued by the Reserve Bank of India, and under the FEMA regulations, 2000 governing EEFC Accounts, the Foreign Exchange Management Act, 1999 and Foreign Account Tax Compliance Act, 2010 (to the extent applicable to India) and the Common Reporting Standards (CRS), in force from time to time. I/We have declared our status per the rules applicable under section 285BA of the Income Tax Act, 1961 (the Act) as notified by Central Board of Direct Taxes (CBDT) in this regard. 4. I/We authorize IDFC FIRST Bank to conduct my/our credit history verification with CIBIL or any other credit rating agency and acknowledge that IDFC FIRST Bank shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me/us to IDFC FIRST Bank. I/We declare that I/we have not availed any credit facility from any bank or have obtained NOC from such bank(s) for opening of a current account with IDFC FIRST Bank. 5. I/We agree to furnish and intimate to IDFC FIRST Bank any other particulars that we are called upon to provide on account of any change in law/statutory requirements either in India or abroad. I/We authorize IDFC FIRST Bank to exchange, share or part with all the Customer Information/KYC documents provided herein with financial institutions/agencies/statutory bodies/other such persons including but not limited to financial products/services providers e.g. Insurance companies, Asset Management Companies etc. which whom IDFC FIRST Bank has agency/distribution/marketing arrangement, as may be required by IDFC FIRST Bank. I/We shall not hold IDFC FIRST Bank or its agents/representatives liable for using/sharing such information. 6. I/We declare that: (a) there is no change in the communication or business address provided at the time of account opening. (b) The information provided herein as well as in the documentary evidence provided by me/us to IDFC FIRST Bank (the "Customer Information") is true, correct and complete in all aspects to the best of my/our knowledge and (c) I/We have not withheld any Customer Information that may affect the assessment/categorization of the account as a Reportable account or otherwise. (d) Any false/misleading Customer Information given by me/us or suppression of any material fact will render my/our account liable for closure and the bank shall have the right to initiate any action, under law or otherwise. 7. If any of the information provided here is incorrect, I/We hereby agree to indemnify and keep indemnified IDFC FIRST Bank, affiliates and their successors or assignees. 8. I/We agree and understand that IDFC FIRST Bank reserves the right to discontinue/suspend any products/services/offers without assigning any reason thereof and without being liable to me/us in any manner whatsoever. 9. I understand, acknowledge and authorize that as per the provisions of Income Tax Act, Rules made thereunder and the guidelines issued by the Government/RBI in the matter, depending upon the residential status and/or other criteria stipulated therein, the Bank may have to report the details in respect of my account(s) as per the prescribed format to the Central Board of Direct Taxes (CBDT) or other Government Agencies to comply with the obligations as per the Inter-Governmental Agreements (IGA) in respect of Foreign Accounts T. Compliance Act (FATCA) and Common Reporting Standards (CRS) and / or any other similar arrangements. 10. I undertake the responsibility to declare, disclose and recertify within 30 days any changes that may take place in the information provided in the account opening form and signed by me as well as in the documentary evidence provided by me or if any certification become incorrect. 11. I also agree that our failure to disclose any material fact known to me now or in future, may invalidate my application and IDFC FIRST Bank would be within its right to put restrictions in the operations of my account or take appropriate action permissible under the Indian regulations for the purpose or take any other action as may be deemed appropriate if the deficiency is not updated/rectified by me within the stipulated period. 12. I agree to furnish any particulars/information that is called upon me by IDFC FIRST Bank on account of any change in law either in India or abroad in the subject matter herein. 13. In the event there is any tax demand (including interest (if any)) raised due to non-disclosure/inaccurate disclosure of information/documents on my/our part, I undertake to pay the demand forthwith and provide the bank with all information/documents that may be necessary for any proceeding before GOI/RBI/Income Tax Authorities. 14. By opening an account; I/We are willing to enroll and avail Doorstep banking non-cash services (i.e. pick-up and/or delivery of cheque and/or documents) - details of which are available on www.idfcfirstbank.com. 15. IDFC FIRST Bank protects the interest of its customers against any unauthorised electronic banking transaction. You may visit Bank's website www.idfcfirstbank.com for more details in respect of the same.

I / We hereby solemnly declare that the information provided above with respect to my / our account is up to date and correct.

*In case of change in beneficial owners, separate form is to be filled up to update the details of beneficial owners.

Signatory 1

Signature with Stamp

Name

Signatory 2

Signature with Stamp

Name

Signatory 3

Signature with Stamp

Name

BANK USE SECTION

Service Request No. _____

Banker Certification
 I have met the customer at his: Communication Address Business Address Other _____

 I have seen the original KYC documents and returned these to the customer. Copy/Photo taken for record

 The customer has signed in my presence

Signature/Stamp

Name _____

Date

Employee ID _____

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Contd...3/8

ANNEXURE 1 - BENEFICIAL OWNERSHIP DECLARATION

Note: Beneficial Owners are not required to be identified in the case of Listed Companies or Wholly Owned Subsidiaries of a Listed Company listed on a stock exchange in India, or it is an entity resident in * jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions notified by the Central Government. In cases of trust/nominee or fiduciary accounts determine whether the customer is acting on behalf of another person as trustee/nominee or any other intermediary and obtain satisfactory evidence of the identity of the intermediaries and of the persons on whose behalf they are acting as well as details of the nature of the trust or other arrangements in place.

*Jurisdictions Name: (i) United States of America (ii) Japan (iii) South Korea (iv) United Kingdom excluding British Overseas Territories (v) France (vi) Germany (vii) Canada (viii) International Financial Services Centre in India.

Please tick the relevant option below:

- The following natural person(s) ultimately have a controlling ownership interest of shares/capital/profit/property more than 10% for a company/partnership/LLP and more than 15% for an unincorporated association/body of individuals (Association/Society/etc.) or exercise control through other means such as management rights, voting/shareholders agreement, etc.
- There are no natural person(s) who exercise control or ultimately have a controlling ownership interest as stated above; therefore, details of partner(s) (for partnership firms)/ or senior managing official of a company/unincorporated association/body of individuals (Association/Society/etc.) have been provided in the table below.
- Where the customer is a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Sr. No	Name	Current address	Does the OVD address match the current address (If "No" provide a deemed OVD)	Mobile No.	Date of Birth	Gender	Control Details Type	Controlling Ownership %
1.								
2.								
3.								
4.								
5.								

Sr. No	Nationality	Resident of India	PAN#	OVD & Deemed OVD	Father's Name	Occupation	Country of Birth	Country of Tax Residence	Tax Identification Number (TIN)
1.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
2.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
3.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
4.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
5.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							

Note: #Please quote PAN/form 60 in the PAN field.

- 1) Photograph of BO is mandatory
- 2) Either Mother, Father name or Spouse's name is mandatory. In case PAN is not available Father's name is mandatory.
- 3) Senior Managing Official would include key managers, and c-suite individuals (like CEO, CFO, COO etc)
- 4) In case Original Seen & Verified (OSV) certification is not possible for BOs who are Foreign Nationals/NRIs/PIOs, the document needs to be certified by any one of the following authorities:
 - (a) Authorized officials of overseas branches of Scheduled Commercial Banks registered in India
 - (b) Branches of overseas banks with whom Indian banks have relationships
 - (c) Notary Public abroad
 - (d) Court Magistrate
 - (e) Judge
 - (f) Indian Embassy/Consulate General in the country where the non-resident customer resides
- 5) Types of control:
 - (a) Ownership
 - (b) Other means
 - (c) Senior managing official
 - (d) Trustee
 - (e) Settlor
 - (f) Protector
 - (g) Beneficiary
 - (h) Others (please specify)

I/We agree that I/We will notify IDFC FIRST Bank without delay of any changes to the Beneficial Owner/Controlling natural person, as declared in the table above.

1. Signature of Authorised Signatories:

Signature with Stamp

Name _____

Designation _____

Date

2. Signature of Authorised Signatories:

Signature with Stamp

Name _____

Designation _____

Date



ANNEXURE 1 - PHOTOGRAPHS OF BENEFICIAL OWNERS

RECENT
Colour
Photograph

Name _____

RECENT
Colour
Photograph

Name _____

RECENT
Colour
Photograph

Name _____

RECENT
Colour
Photograph

Name _____

RECENT
Colour
Photograph

Name _____

RECENT
Colour
Photograph

Name _____

ANNEXURE 2 - FATCA/CRS DECLARATION - NON FINANCIAL ENTITIES

A	Incorporation Information	Details
	Place of Incorporation	
	Country of Incorporation	
	Company Identification Number	
B	Declaration of Tax Residency	
	Sr. No.	Country(ies) of Tax Residency
		Tax Identification Number
C	Exclusion Category, if applicable, for Tax Residents outside India (Refer Glossary)	Details
1	US Persons	
2	Other than US Persons	

Note: Please attach a copy of the Tax Residency Certificate/Copy of Incorporation or Equivalent Document for each of the countries mentioned above.

ANNEXURE 3 - FATCA/CRS DECLARATION - NON FINANCIAL ENTITIES

A. Listed entity/its related entity	Yes	No
a) Whether the entity is a listed entity? If yes, Listed in <input type="checkbox"/> NSE <input type="checkbox"/> BSE <input type="checkbox"/> Others _____ (Please specify)	<input type="checkbox"/>	<input type="checkbox"/>
b) Whether the entity is a related entity* of a listed entity? Specify the name of the listed company _____ Listed in <input type="checkbox"/> NSE <input type="checkbox"/> BSE <input type="checkbox"/> Others _____ (Please specify) <small>* An entity is a related entity of another entity if either entity controls the other entity, or the two entities are under common control (i.e., Ownership of more than 50% of the votes/value in an entity)</small>	<input type="checkbox"/>	<input type="checkbox"/>
B. Non - Individuals other than Listed entity/its related entity (Tick applicable category)		
a) Government Entity	<input type="checkbox"/>	<input type="checkbox"/>
b) International Organization	<input type="checkbox"/>	<input type="checkbox"/>
c) Central Bank	<input type="checkbox"/>	<input type="checkbox"/>
d) Entity wholly owned by a,b, or c above	<input type="checkbox"/>	<input type="checkbox"/>
e) Tax-Exempt Entity engaged in a Charitable Purpose	<input type="checkbox"/>	<input type="checkbox"/>
C. Business		
a) Holding Company (with subsidiaries engaged in non-financial trade or business)	<input type="checkbox"/>	<input type="checkbox"/>
b) Company providing, financing and hedging services to related entities	<input type="checkbox"/>	<input type="checkbox"/>
D. Income/Assets Criteria		
a) 50% or more of the income in preceding financial year is from trading/business activities AND b) 50% or more of the assets in preceding financial year are held for trading/business purposes	<input type="checkbox"/>	<input type="checkbox"/>

Name _____

Name _____

Designation _____

Designation _____

Signature and Stamp of Authorised Signatories

Signature and Stamp of Authorised Signatories

 Date
 D D M M Y Y Y Y

 Date
 D D M M Y Y Y Y

ANNEXURE 4 - FATCA FOR FINANCIAL ENTITIES

A	Incorporation Information	Details
1	Place of Incorporation	
2	Country of Incorporation	
3	Company Identification Number	
B	Identification Information	Details
1	Identification Type: <input type="checkbox"/> TIN ¹ <input type="checkbox"/> GIIN ² <input type="checkbox"/> EIN ³ <input type="checkbox"/> Other	
2	Identification Number	
3	Identification issuing country	
c	Declaration of Tax Residency	
	Sr. No.	Country(ies) of Tax Residency
		Tax Identification Number
D	Exclusion Category, if applicable, for tax residents outside India (Refer Glossary)	Details
1	US Persons	
2	Other than US Persons	
E	Additional Details for Domestic Financial Institutions (Tick whichever is applicable)	Details
1	We are a Reporting Financial Institution (Specify whichever is applicable - (a) Depository Institution (b) Custodial Institution (c) Investment Entity (d) Specified Insurance Company)	
	GIIN (if not available, please mention "applied for")	
2	We are a Non-Reporting Financial Institution (please specify the relevant exemption type, refer Glossary for details)	
3	We are a Sponsored Financial Institution with following details and have not yet obtained GIIN	
	Name of Sponsoring Entity	
	GIIN of Sponsoring Entity	
4	We are a Trustee Documented Trust with following details and have not yet obtained GIIN	
	Name of the Trustee	
	GIIN of the Trustee	
5	Address of the Trustee	
	Non Participating Financial Institution	

¹ Tax Identification Number. ² Global Intermediary Identification Number. ³ Global Entity Identification Number

Note: Please attach a copy of the Tax Residency Certificate / Copy of Incorporation or Equivalent Document for each of the countries mentioned above.

Name _____

Name _____

Designation _____

Designation _____

Signature and Stamp of Authorised Signatories

Signature and Stamp of Authorised Signatories

Date

D D M M Y Y Y Y

Date

D D M M Y Y Y Y

NATURE OF INDUSTRY - GOVERNMENT AND TASC ENTITIES

- | | | |
|---|--|---|
| <ul style="list-style-type: none"> • Gram Panchayat • Govt. - Water resources • Hospital • School • Family Trust • Health and Social work • Govt. - Defence • Research Centre • College • PF Trust • Govt. - Skill Development • Municipal Corporation/Municipal Council/Committee • Govt.-Food & Public Distribution • Charitable/Social/Welfare • National Institutes (IIT/IIM/NIT) • Govt.-Trust/Society for Research Development/Consultancy/Welfare • Government - Social Justice and empowerment • Government - Human Resource development • Government - Housing Development • Government - Contractors/Contractor • Government - Road Transportation & Highways • Financial Intermediation - Co-operative Institutions - (FSS and LAMPS, PACS) • Financial Intermediation - Other Co-op Institutions • Insurance Co. • Banking (Financial Services) • Pension Fund trust • Gratuity/SA Trust • ESOP Trust • Endowment Board • Waqf Board • Diocese • SGP • Sports Club • Private Club • Gymkhana • Temple • Church • Gurudwara • Mosque/Dargah • Libraries, archives, museums and other cultural activities • Advertising/Media/Entertainment • Agricultural Commodities • Agriculture | <ul style="list-style-type: none"> • Airlines • Animal Husbandry Services • Aquaculture • Architecture and engineering activities; technical testing and analysis • Beverages-Liquor,wine,fanny and other alcoholic drink • Beverages-Soft drinks • Bullion/Forex Dealer • Business correspondent • Chemical and chemical products- Manufacture of Matches and firework, explosives • Civil engineering • Coal and Lignite • Coal products, refined petroleum products, • Construction/Real estate/ Builder • Consultancy • Dairy/Food Processing • Electrical machinery and apparatus (Engineering-Electricals) • Electronics/Computer Hardware • Employment activities • Engineering • Engineering goods • Event Catering • Farming of Animals, silkworm • Fertilizers/Seeds/Pesticides • Fishery/Poultry • Food and Cash Crops (excluding Plantation Crops) • Forestry, Logging and Related Service • Furniture/Timber • Gas - distribution of gaseous fuels • Gems and Jewellery • Handicraft • Hotel/Resort • Indirect Finance to Agriculture • Infrastructure- Communication • Infrastructure- energy • Infrastructure- Social and commercial • Infrastructure- transport • Infrastructure- water sanitation • Iron and Steel • Irrigation • IT/Software/BPO/ITES • Land Transport; Transport via pipelines • Leather and Leather products • Liquor Distributor | <ul style="list-style-type: none"> • Machinery and equipments • Medical instruments, watches and clocks • Metal Ores • Metals • Mining • Nuclear fuels • Other non metallic mineral product • Pension Funding • Petroleum Oil and Gas • Pharma and drugs soaps • Pharmaceuticals / Chemists • Plantation Crops • Plastic/Paper and Allied products • Postal and cable services • Power • Public Administration and Defence; Compulsory Social Security • Radio, Television and Communication equipment (Engineering-Electronics) • Realty and Infrastructure • Recreational, Cultural and Sporting activities • Recycling • Rental and leasing activities • Renting of Machinery and Equipments • Research and Development • Rubber and plastic products • Scrap Metal • Securitisation trust • Security and investigation activities • Shipping • Supporting and auxiliary transport activities • Telecom • Textiles/Garments/Handloom • Tour Travel and Tourism • Transportation/Logistics • Uranium and Thorium • Veterinary activities • Warehousing and support activities for transportation • Waste collection, treatment and disposal activities; materials recovery • Water Transport • Wood and wood products (except furniture) • Activities auxiliary to Financial Intermediation • Activities of extraterritorial organizations and bodies-United Nations, World Bank, IMF etc. |
|---|--|---|

NATURE OF INDUSTRY - NON-TASC

- | | | |
|--|---|--|
| <ul style="list-style-type: none"> • Advertising/Media/Entertainment • Agriculture • Airlines • Architecture and engineering activities • Arms/Antique/Art Dealer • Auto Finance Co • Automobile • Bar/Casino/Night club • Boat/Plane Dealership • Bullion/Forex Dealer • Cement • Chemicals/Dyes/Paints • Civil engineering • Construction/Real estate/Builder • Consultancy • Consumer Durables • Courier/Freight Forwarders • Dairy/Food Processing • Dot-com Company or internet business • Education • Education Institutes/school/coaching centres • Electric power generation, transmission and distribution • Electronics/Computer Hardware • Employment activities • Engineering goods • Event Catering • Exchange house • Fabricated metal products (except machinery and equipment) • Fertilizers/Seeds/Pesticides | <ul style="list-style-type: none"> • Financial Advisory - Portfolio management services • Financial Intermediation- NBFC • Fishery/Poultry • FMCG • Furniture/Timber • Gas - distribution of gaseous fuels • Gems and Jewellery • Government Contractors/Contractor • Health Club/Spa • Healthcare • Hotel/Resort • Housing Finance Co. • Insurance Co • Internet Café • Investment Management/Money Management Company/Personal Investment Company • Iron and Steel • IT/Software/BPO/ITES • Law firm • Leather and Leather products • Liquor Distributor • Machinery and equipments • Marble/Granite • Merchant banking • Mining • Money services business (remittance house, money transfer agents, bank note traders) • Movie theatre • Nursing home/Clinic/Life science/ Diagnostic Centre/Hospital | <ul style="list-style-type: none"> • Other pvt financial corp • Other Service activity-laundry, saloon, beauty parlor, potrait, studio • Pawn Shops • Petroleum Oil and Gas • Pharmaceuticals/Chemists • Plastic/Paper and Allied products • Postal and cable services • Printing/Publishing • Realty and Infrastructure • Rental and leasing activities • Restaurants,canteens • Rubber and plastic products • Scrap Metal • Security and investigation activities • SEP (Doc, CA, Architect/Lawyer/Consultant) • SEP (Entertainment/Alternate Medicine Practitioner/Beautician) • Sports goods, games and toys • Store - Retail outlet (Bakery/Books/stationery/ Garments)/Departmental/Grocery • Telecom • Term Lending Co. • Textiles/Garments/Handloom • Tour Travel and Tourism • Transportation/Logistics • Used car dealer • Venture Capital Companies • Veterinary activities • Warehousing and support activities for transportation • Waste collection, treatment and disposal activities; materials recovery |
|--|---|--|