STANDALONE FIXED DEPOSIT APPLICATION FORM

(APPLICABLE FOR NON-INDIVIDUALS OTHER THAN TRUSTS, ASSOCIATIONS, SOCIETIES, CLUBS, GOVT BODIES)

IDFC FIRST Bank

Please fill the form in Black Ink and in CAPITAL LETTERS.
ALL fields marked "*" are mandatory.

Date of Application D D M M Y Y Y Y

If entity is an existing customer of IDFC FIRST Bank, simply share the Customer ID here ☐ and fill only the sections marked "✓"

TELL US ABOUT YOUR BUSINESS

***Entity Name**

Account Title

***Entity Type**

☐ Sole Proprietorship ☐ One Person Company ☐ Hindu Undivided Family (HUF) ☐ Partnership
☐ Public Limited Company ☐ Private Limited Company ☐ Limited Liability Partnerships (LLP) ☐ Joint Venture (Not incorporated)
☐ LO/BO/PO - LLP ☐ LO/BO/PO - Partnership ☐ LO/BO/PO - Pvt Ltd ☐ LO/BO/PO - Public Ltd
☐ Embassy/Consulate/High Commission

***Line of Business**

☐ Manufacturing ☐ Service Provider ☐ Retail Trade ☐ E-Commerce ☐ Wholesale Trading ☐ Financial Services

***Nature of Industry**

☐ Advertising/Media ☐ Agriculture ☐ Architecture and Engineering activities ☐ Automobile
☐ Auto Finance Co. ☐ Cement ☐ Chemicals/Dyes/Paints ☐ Civil engineering
☐ Consultancy ☐ Courier/Freight Forwarders ☐ Consumer Durables ☐ Dairy/Food Processing
☐ Departmental/Grocery Store ☐ Education ☐ Engineering Goods ☐ Event Catering
☐ Electronics/Computer Hardware ☐ Employment activities ☐ Fertilizers/Seeds/Pesticides ☐ Fishery/Poultry
☐ Furniture/Timber ☐ Gems & Jewellery ☐ Government Contractor/ Contractor ☐ Hospital
☐ Hotel/Resort ☐ Health Club/Spa ☐ Internet Café ☐ IT/Software/BPO
☐ Law firm ☐ Marble/Granite ☐ Nursing Home/Clinic/ Diagnostic Center ☐ Printing/Publishing
☐ Plastic/Paper & Allied Products ☐ Pharmaceutical/Chemist ☐ Rental and leasing activities ☐ Real Estate
☐ Realty & Infrastructure ☐ Scrap Metal ☐ Security and Investigation activities ☐ Sports goods, games, toys
☐ Self Employed Professional (Doctor/CA/Architect/Lawyer/Consultant) ☐ Self Employed Professional (Entertainment/Alternate Medicine Practitioner/Beautician)
☐ Telecom ☐ Transportation/Logistics ☐ Textiles/Garments/Handloom ☐ Travel & Tourism
☐ Warehousing and support activities for transportation ***If none of the above are applicable, please refer Annexure 8 for more options***

***PAN** ☐ **Form 60**

***Date of Incorporation** D D M M Y Y Y Y ***Gross Annual Turnover (in INR)**

International Trade Turnover (in INR) **Import Export Code (IEC)**

Website Address

*COMMUNICATION ADDRESS

Landmark (If any) **City** **District**

State **Country** **Pin Code**

Contact Details Mobile Landline No. (STD Code) (Number)

Fax (STD Code) (Number)

E-mail

CB/14/06-2020/1000

A4 Size

IDFC FIRST Bank

BUSINESS ADDRESS*

Same as Communication Address ☐ Yes ☐ No (If no, please fill in below)

Landmark (If any) **City** **District**

State **Country** **Pin Code**

Contact Details Mobile Landline No. (STD Code) (Number)

Fax (STD Code) (Number)

Email for receiving consolidated statements

*TELL US THE DETAILS OF THE DEPOSIT TO BE BOOKED TO MAXIMIZE RETURNS ON YOUR SURPLUS FUNDS

Deposit Type	Amount	Tenure*			Interest Mandate - Long Term > 180 days			Interest Rate %
		Years	Months	Days	Simple Interest		Quarterly Compounding	
					Monthly	Quarterly		
☐ Standard					☐	☐	☐	
☐ Tax Saver#		5 Years			☐	☐	☐	
In Words								

PAN / Form 60 is mandatory for booking time deposit exceeding Rs.50,000/- at each instance and for time deposits aggregating to more than Rs.5 lakhs during a financial year
*Tenure of deposit can range from 7 days to 10 years.
#Tax saver deposits can only be booked by HUFs that hold a valid PAN.
For short term FDs with tenure < 180 days, interest will be paid on maturity
For long term FDs with tenure > 180 days, interest pay-out will be as follows:
i) Simple interest FD: Monthly or Quarterly ii) Compound interest FD: On maturity

MODE OF OPERATION

Mode of Operation ☐ Singly ☐ Jointly ☐ Severally ☐ As per the Trust/Board Resolution/Account Opening Authority Letter

Number of Authorised Signatories

HOW WOULD THE ENTITY LIKE TO FUND THE FIXED DEPOSIT?

☐ **Cheque** Cheque Number Cheque Drawn on Cheque Date D D M M Y Y Y Y

☐ **NEFT**

WHAT WOULD THE ENTITY WANT THE MATURITY INSTRUCTIONS TO BE AS?

FOR SIMPLE INTEREST FDs	FOR COMPOUND INTEREST FDs
☐ Renew	☐ Renew Principal & Interest
☐ Payout, do not renew	☐ Payout, do not renew
	☐ Renew Principal & Payout Interest

HOW WOULD THE ENTITY LIKE THE BANK TO PAY THE DEPOSIT INTEREST AND PRINCIPAL TO YOU?

PRINCIPAL	INTEREST
☐ **NEFT/RTGS** **Account Type** ☐ Current ☐ Savings	☐ **NEFT/RTGS** **Account Type** ☐ Current ☐ Savings
IFSC Code	IFSC Code
Account Number*	Account Number*

*Account has to be in the name of the depositor, Fixed Deposit proceeds will not be credited to third party accounts.
Tax Saver Deposit will not be renewed and will be paid to customer as per maturity instructions opted
If you do not have a PAN automatic renewal option will not be available for Fixed Deposits and maturity proceeds will be paid to customer as per maturity instructions opted
If you do not have a PAN, please fill Form 60

WOULD THE ENTITY LIKE THE BANK TO DEDUCT TDS ON THE DEPOSIT?

☐ Deduct TDS as applicable ☐ DO NOT deduct TDS as we are submitting Form 15G for this deposit

☐ Do not deduct TDS as we are exempt from Tax (We are submitting documents evidencing the same)

IDFC FIRST Bank

ENTITY PROOF

***Entity Proof:** Name of Document

ID Number (If applicable) Expiry Date (If applicable) D D M M Y Y Y Y

***Address Proof:** Name of Document

ID Number (If applicable) Expiry Date (If applicable) D D M M Y Y Y Y

Additional Documents Shared

1 2

3 4

Additional Document IDs (If applicable)

CIN TAN

Sales Tax Excise

✓ TELL US ABOUT THE PEOPLE WHO WILL BE OPERATING THIS ACCOUNT

SIGNATORY 1

Title Mr. Ms. Mrs.

*First Name

*Middle Name

*Last Name

Designation

Internet Banking Yes No Transact View

If Existing IDFC Bank Customer

Customer ID

*DOB D D M M Y Y Y Y

*PAN

Form 60 (If you have a PAN it is mandatory to provide details to the bank at the time of opening an account. If you do not have PAN, please complete a Form 60.)

*Father's Name

DIN

*Gender Male Female Third Gender

*Nationality

Resident Foreign National Resident

Non-Resident Foreign National Non-Resident

*Country of Birth

*Country of Tax Residence:

*Mother s Maiden Name

Landline

*Mobile No.

*E-mail ID

Account level Email Statements: Yes No

*Address as per OVD

Landmark (If any)

City

District

State

Country

Pin Code

***Identity Proof**
Name of Document

Document ID No.

Expiry Date D D M M Y Y Y Y

SIGNATORY 2

Title Mr. Ms. Mrs.

*First Name

*Middle Name

*Last Name

Designation

Internet Banking Yes No Transact View

If Existing IDFC Bank Customer

Customer ID

*DOB D D M M Y Y Y Y

*PAN

Form 60 (If you have a PAN it is mandatory to provide details to the bank at the time of opening an account. If you do not have PAN, please complete a Form 60.)

*Father's Name

DIN

*Gender Male Female Third Gender

*Nationality

Resident Foreign National Resident

Non-Resident Foreign National Non-Resident

*Country of Birth

*Country of Tax Residence:

*Mother s Maiden Name

Landline

*Mobile No.

*E-mail ID

Account level Email Statements: Yes No

*Address as per OVD

Landmark (If any)

City

District

State

Country

Pin Code

***Identity Proof**
Name of Document

Document ID No.

Expiry Date D D M M Y Y Y Y

Contd...3/10

IDFC FIRST Bank

DECLARATION (Please read carefully and sign at the end of this section after you have filled in all the details in the form)

1. I/We wish to avail the banking facilities/products from IDFC FIRST Bank Limited ("IDFC FIRST Bank"), and have read, understood and agree to the Terms and Conditions displayed on the website of IDFC FIRST Bank i.e. www.idfcfirstbank.com, which may be amended by IDFC FIRST Bank from time to time and hosted and notified on the website of IDFC FIRST Bank. **2.** I/We have read, understood and agree to the charges/costs, mentioned in the extant Schedule of Charges. This Schedule of Charges is also displayed on www.idfcfirstbank.com **3.** I/We agree to abide by and be bound by all applicable rules/regulations/instruction/guidelines issued by the Reserve Bank of India, and under the FEMA regulations, 2000 governing EEFC Accounts, the Foreign Exchange Management Act, 1999 and Foreign Account Tax Compliance Act, 2010 (to the extent applicable to India) and the Common Reporting Standards (CRS), in force from time to time. I/We have declared our status as per the rules applicable under section 285BA of the Income Tax Act, 1961 (the Act) as notified by Central Board of Direct Taxes (CBDT) in this regard. **4.** I/We authorize IDFC FIRST Bank to conduct my/our credit history verification with CIBIL or any other credit rating agency and acknowledge that IDFC FIRST Bank shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me/us to IDFC FIRST Bank. I/We declare that I/we have not availed any credit facility from any bank or have obtained NOC from such bank(s) for opening of a current account with IDFC FIRST Bank. **5.** I/We agree to furnish and intimate to IDFC FIRST Bank any other particulars that we are called upon to provide on account of any change in law/statutory requirements either in India or abroad. I/We authorize IDFC FIRST Bank to exchange, share or part with all the Customer Information/KYC documents provided herein with financial institutions/agencies/statutory bodies/other such persons including but not limited to financial products/services providers e.g. Insurance companies, Asset Management Companies etc which whom IDFC FIRST Bank has agency/distribution/marketing arrangement, as may be required by IDFC FIRST Bank. I/We shall not hold IDFC FIRST Bank or its agents/representatives liable for using/sharing such information. **6.** I/We hereby declare that the information provided herein as well as in the documentary evidence provided by me/us to IDFC FIRST Bank (the "Customer Information") is true, correct and complete in all aspects to the best of my/our knowledge and that I/We have not withheld any Customer Information that may affect the assessment/categorization of the account as a Reportable account or otherwise. I/We further agree that any false/misleading Customer Information given by me/us or suppression of any material fact will render my/our account liable for closure and the bank shall have the right to initiate any action, under law or otherwise. **7.** If any of the information provided here is incorrect, I/We hereby agree to indemnify and keep indemnified IDFC FIRST Bank, affiliates and their successors or assignees. **8.** I/We agree and understand that IDFC FIRST Bank reserves the right to reject my/our account opening application form/request without assigning any reason thereof and without being liable to me/us in any manner whatsoever. **9.** For accounts with Method of Operation "Either or Survivor": I/We hereby confirm that premature withdrawals of all Term Deposits placed and/or proposed to be placed shall be paid by IDFC FIRST Bank under the operation rule of "Either or Survivor". **10.** In the absence of any special instructions, the deposit will be renewed on maturity for a like term at the then applicable interest rates. **11.** As per Section 139(5A) of the Income Tax Act, every person receiving any sum of income or amount from which Tax has been deducted under the provisions of the Income Tax Act shall provide his/her PAN number to the person responsible for deducting such Tax. In case the PAN number provided, the bank shall not be liable for the non availment of the credit of tax deducted at Source. **12.** As per section 206AA introduced by Finance (No. 2) Act, 2009 w.e.f 01.04.2010 every person receives income on which TDS is deductible shall furnish his/her PAN number, failing to which TDS shall be deducted at the rate of 20% in case of domestic deposits and 30.90% in case of NRO deposits*. Please further note that in absence of PAN, Form 15G/H and other exemption certificates will be invalid even if submitted & penal TDS will be applicable. **13.** All fees/charges to be paid shall be exclusive of goods and services tax (GST), as may be applicable. **14.** IDFC FIRST Bank will provide me/us Services Accounting Code (SAC) and this will quoted in all invoices/credit/debit notes. **15.** IDFC FIRST Bank will determine if I/We are related party based on documents available or submitted for this purpose. **16.** IDFC FIRST Bank will determine the location of service provided which shall be binding on me/us. **17.** I/We shall provide the Bank with the details of exemption or lower rate of tax, if any supported by relevant documents prior to availment of services. **18.** For smooth realisation of input tax credit, I/We shall validate the invoices uploaded in the GSTN portal by the Bank between the 10th - 15th day of the month succeeding the relevant period. In case of any discrepancies, I/We shall bring it to the notice immediately. **19.** IDFC FIRST Bank will issue invoices on a monthly basis. The contents of all the invoices, debit notes, credit notes, etc. will be as per rules and guidelines in the GST law. **20.** I am fully aware that the bank sends SMS alerts on all account/card related transactions promptly on the mobile number shared at the time of account opening/updated subsequently and any failure to update contact information with the bank may result in any financial loss in case of misuse of cards. **21.** I understand, acknowledge and authorize that as per the provisions of Income Tax Act, Rules made thereunder and the guidelines issued by the Government/RBI in the matter, depending upon the residential status and/or other criteria stipulated therein, the Bank may have to report the details in respect of my account(s) as per the prescribed format to the Central Board of Direct Taxes (CBDT) or other Government Agencies to comply with the obligations as per the Inter-Governmental Agreements (IGA) in respect of Foreign Accounts T. Compliance Act (FATCA) and Common Reporting Standards (CRS) and / or any other similar arrangements. **22.** I undertake the responsibility to declare, disclose and recertify within 30 days any changes that may take place in the information provided in the account opening form and signed by me as well as in the documentary evidence provided by me or if any certifies tion become incorrect. **23.** I also agree that our failure to disclose any material fact known to me now or in future, may invalidate my application and IDFC FIRST Bank would be within its right to put restrictions in the operations of my account or take appropriate action permissible under the Indian regulations for the purpose or take any other action as may deemed appropriate if the deficiency is not updated/rectified by me within the stipulated period. **24.** I agree to furnish any particulars/information that is called upon me by IDFC FIRST Bank on account of any change in law either in India or abroad in the subject matter herein. **25.** In the event there is any tax demand {including interest(if any)} raised due to nondisclosure/inaccurate disclosure of information/documents on my/our part, I undertake to pay the demand forthwith and provide the bank with all information/documentws that may be necessary for any proceeding before GOI/RBI/Income Tax Authorities. **26.** By opening an account; I/We are willing to enroll and avail Doorstep banking non-cash services (i.e. pick-up and/or delivery of cheque and/or documents) – details of which are available on www.idfcfirstbank.com. **27.** IDFC FIRST Bank protects the interest of its customers against any unauthorised electronic banking transaction. You may visit Bank's website www.idfcfirstbank.com for more details in respect of the same.

Would you like IDFC FIRST Bank to contact you and tell you about new products, features and offers? ☐ Yes ☐ No

RECENT Colour Photograph	Name of Signatory 1 ____________	RECENT Colour Photograph	Name of Signatory 2 ____________
	Signature stamp		Signature stamp

BANK USE SECTION

Payment Details Amount ☐☐☐☐☐☐☐☐☐ ☐ Cheque/DD ☐ NEFT/RTGS

Cheque No. ☐☐☐☐☐☐☐☐☐ Bank Name ____________ Branch Name ____________

Date ☐☐ ☐☐ ☐☐☐☐ (D D M M Y Y Y Y) Trace ID ☐☐☐☐☐☐☐☐☐☐ UTR ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Customer ID ☐☐☐☐☐☐☐☐☐☐ Account No. ☐☐☐☐☐☐☐☐☐☐☐

Branch Code ____________ Branch Name ____________ Product Code ____________

Sourcing Branch Code ____________ Lead Generator ____________ Lead Warmer ____________

Lead Converter ____________ Biz/Segment Code ____________ Profit Centre ____________

Lead ID ☐☐☐☐☐☐☐☐☐☐ Promo Code ☐☐☐☐☐☐☐☐☐☐☐☐ RM Code ☐☐☐☐☐☐

Program Code ☐☐☐☐☐☐ Group ID ☐☐☐☐☐☐☐☐☐☐☐

Work Item ID ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Banker Certification

☐ I have met the customer at his: ☐ Communication Address ☐ Business Address ☐ Other ____________

AND

☐ I have seen the original KYC documents and returned these to the customer. Copy/Photo taken for record

AND

☐ The customer has signed in my presence

Name ____________

Employee ID ____________

Date ☐☐ ☐☐ ☐☐☐☐ (D D M M Y Y Y Y)

Signature/Stamp

IDFC FIRST Bank

ANNEXURE 1: DECLARATION FOR PARTNERSHIP FIRM

We, the undersigned hereby declare that we are the partners or members of the Firm carrying on business in the name and style of ____ (registered under the Indian Partnership Act (IPA)1932)* (the Firm). We hereby, unconditionally & irrevocably, undertake that the Firm, its Partners and/or its successors shall be jointly / severally liable and responsible from time to time and at all times hereinafter to the Bank in connection with our existing and future transactions and dealings with the Bank, in any manner whatsoever.

Our undertaking and liability as aforesaid shall continue notwithstanding: a) any change in the constitution or membership of the Firm and/or its successors and assignees by any cause whatsoever or dissolutions thereof; or b) that we or any of us cease to be partners or members or to have any interest in the Firm, in any of which events the liability and responsibility (in addition to that provided by law) of us or such of us respectively, as the case may be, to have any interest in the Firm and/or its su ccessors as assignees as aforesaid shall extend to or continue in respect of all transactions and dealings existing prior to or at the date of receipt by you of written notice from us of such events respectively.

Name (i) ________________________________ Name (ii) ________________________________

Signature Signature

*Please strike off if not applicable

ANNEXURE 2: DECLARATION FOR SOLE PROPRIETORSHIP FIRMS

I, ___________________________________, hereby declare that I am the sole proprietor of the firm under the name of ______________________________ and am solely responsible for the liabilities thereof. I shall advise you in writing of any change that takes place in the constitution of the firm and I will be liable to you for any obligation which may be standing in the firm's name in your books on the date of receipt of such notice and until all such obligations shall have been liquidated.

Name ____ *Father's Name

Maiden Name (if any) Mother's Name

Marital Status ☐ Married ☐ Unmarried ☐ Other

Residential Status ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Date of Birth D D M M Y Y Y Y Country of Birth

Country of Tax Residency

Separate annexure to be executed in case of dual country of tax residence

TIN Issuing Country

If Country of Birth or Tax Residency is other than India, please provide Tax Identification Number

ANNEXURE 3: NOMINATION (FORM DA1) (Applicable to Sole Proprietorship concern only)

(Nomination under Section 45 ZA of the Banking Regulations Act, 1949 and Rule 2(1) of the Banking Companies (Nomination) Rule, 1985 in respect to Bank Accounts.)
The Nominee or Guardian (if applicable) cannot be a holder on the account. If the Nominee is a foreign national, please contact IDFC Bank for an alternate Nomination Form

☐ **Yes,** I want to nominate the following person to whom in the event of my/our/minors death the amount of deposit in the account may be returned by IDFC FIRST Bank Ltd.

Customer ID (In case of an existing Account Holder)

Nominee Name:

Nominee Address:

***Father's Name:**

Relationship with Depositor (If any) **Date of Birth** D D M M Y Y Y Y

If the nominee is a minor**, please complete this section. As the nominee is a minor on this date, I/We appoint:

Guardians Name:

Guardians Address:

to receive the amount of deposits in the account on behalf of the nominee in the event of my/our/minors death during the minority of the nominee. (** Where deposit is made in the name of a minor the nomination must be signed by a person lawfully entitled to act on behalf of the minor)

Would you like the nominee name to be mentioned on your account statements/advices ☐ Yes ☐ No

☐ **No,** I do not wish to nominate anyone on my behalf at this moment. I understand the advantages of nomination and the consequences of not nominating anyone to my account

I/We do hereby declare what is stated above is true to the best of my knowledge and belief.

Date D D M M Y Y Y Y Place

SIGNATURE

WITNESS 1
(Required only if applicants use thumb impressions)

WITNESS 2
(Required only if applicants use thumb impressions)

Name ______________________ Name ______________________ Name ______________________

ANNEXURE 4 - FATCA/CRS DECLARATION

A	Incorporation Information	Details
	Place of Incorporation	
	Country of Incorporation	
	Company Identification Number	

B	Declaration of Tax Residency		
	Sr. No.	Country(ies) of Tax Residency	Tax Identification Number

C	Exclusion Category, if applicable, for tax residents outside India (Refer Glossary)	Details
1	US Persons	
2	Other than US Persons	

Note: Please attach a copy of the Tax Residency Certificate/Copy of Incorporation or Equivalent Document for each of the countries mentioned above.

ANNEXURE 5 - FATCA/CRS DECLARATION

A. Listed entity/its related entity	Yes	No
a) Whether the entity is a listed entity? If yes, Listed in ☐ NSE, ☐ BSE ☐ Others ________________ (Please specify)	☐	☐
b) Whether the entity is a related entity^ of a listed entity? Specify the name of the listed company ________________ Listed in ☐ NSE, ☐ BSE ☐ Others ________________ (Please specify) ^An entity is a related entity of another entity if either entity controls the other entity, or the two entities are under common control (i.e., Ownership of more than 50% of the votes/value in an entity)	☐	☐
B. Non Individuals other than Listed entity/its related entity (Tick applicable category)		
a) Government Entity	☐	☐
b) International Organization	☐	☐
c) Central Bank	☐	☐
d) Entity wholly owned by a, b or c above	☐	☐
e) Tax-exempt Entity engaged in a Charitable Purpose	☐	☐
C. Business		
a) Holding Company (with subsidiaries engaged in non-financial trade or business)	☐	☐
b) Company providing, financing and hedging services to related entities	☐	☐
D. Income/Assets Criteria		
a) 50% or more of the income in preceding financial year is from trading/business activities **AND** b) 50% or more of the assets in preceding financial year are held for trading/business purposes	☐	☐

IDFC FIRST Bank

ANNEXURE 6* - BENEFICIAL OWNERSHIP DECLARATION

Note: Beneficial Owners are not required to be identified in the case of Listed Companies or Wholly Owned Subsidiaries of a Listed Company. In cases of trust/nominee or fiduciary accounts determine whether the customer is acting on behalf of another person as trustee/nominee or any other intermediary and obtain satisfactory evidence of the identity of the intermediaries and of the persons on whose behalf they are acting as well as details of the nature of the trust or other arrangements in place.

Please tick the relevant option below:

☐ The following natural person(s) ultimately have a controlling ownership interest of shares/capital/profit/property more than 25% for a company and more than 15% for a partnership/LLP/unincorporated association/body of individuals (Association/Society/etc.) or exercise control through other means such as management rights, voting/shareholders agreement, etc.

☐ There are no natural person(s) who exercise control or ultimately have a controlling ownership interest as stated above; therefore, details of partner(s) (for partnership firms)/ or senior managing official of a company/unincorporated association/body of individuals (Association/Society/etc.) have been provided in the table below.

☐ Where the customer is a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Sr. No	Name	Current address	Does the OVD address match the current address (If "No" provide a deemed OVD)	Mobile No.	Date of Birth	Gender	Control Details Type	Controlling Ownership %
1.								
2.								
3.								
4.								
5.								

Sr. No	Nationality	Resident of India	PAN#	OVD & Deemed OVD	Father's Name	Occupation	Country of Birth	Country of Tax Residence	Tax Identification Number (TIN)
1.		☐ Resident ☐ Non-Resident							
2.		☐ Resident ☐ Non-Resident							
3.		☐ Resident ☐ Non-Resident							
4.		☐ Resident ☐ Non-Resident							
5.		☐ Resident ☐ Non-Resident							

Note: #Please quote PAN/form 60 in the PAN field.
Applicable for Indian nationals/resident individuals. For other countries, functional equivalent of PAN (like Tax identification number-TIN number or unique number like Social Security Number-SSN) can be provided.

1) Photograph of BO is mandatory
2) Either Mother, Father name or Spouse's name is mandatory. In case PAN is not available Father's name is mandatory.
3) Senior Managing Official would include key managers, and c-suite individuals (like CEO, CFO, COO etc)
4) In case Original Seen & Verified (OSV) certification is not possible for BOs who are Foreign Nationals/NRIs/PIOs, the document needs to be certified by any one of the following authorities:
   a. Authorized officials of overseas branches of Scheduled Commercial Banks registered in India b. Branches of overseas banks with whom Indian banks have relationships c. Notary Public abroad
   d. Court Magistrate e. Judge f. Indian Embassy/Consulate General in the country where the non-resident customer resides

I/We agree that I/We will notify IDFC FIRST Bank without delay of any changes to the Beneficial Owner/Controlling natural person, as declared in the table above.

1. Signature of Authorised Signatories:

Name ____________________

Designation ____________________

Date D D M M Y Y Y Y

2. Signature of Authorised Signatories:

Name ____________________

Designation ____________________

Date D D M M Y Y Y Y

Contd...7/10

ANNEXURE 6* PHOTOGRAPHS OF BENEFICIAL OWNERS

RECENT
Colour
Photograph

Name ______________________________

RECENT
Colour
Photograph

Name ______________________________

RECENT
Colour
Photograph

Name ______________________________

RECENT
Colour
Photograph

Name ______________________________

RECENT
Colour
Photograph

Name ______________________________

RECENT
Colour
Photograph

Name ______________________________

Contd...8/10

IDFC FIRST Bank

ANNEXURE 7 GST ANNEXURE

CUSTOMER DETAILS

Branch Name

Customer Name

GST Status ☐ Registered ☐ Unregistered

Are you exempted from GST? ☐ Yes (Please submit documentary evidence for the exemption) ☐ No

If exempt ☐ Customer level exemption ☐ Account level exemption

Related person to IDFC FIRST Bank ☐ Yes ☐ No (If yes, please note that GST as may be applicable, needs to be paid by the related person.)

(Refer Glossary of terms for definition of related person)

If Registered then Provide the State wise GST details

Please mention the primary GSTN for this account as the first GSTN.

Sr.No.	Name of the State	GST Registration Number#	Address as per GSTN records$	Remarks

$ Address as per GSTN records is the address of receiving the service.
If you have more than 10 GST Registration Numbers; please use another copy of this sheet.

Name ______________________________

Designation _________________________

Signature and Stamp

Name ______________________________

Designation _________________________

Signature and Stamp

A4 Size

IDFC FIRST Bank

GLOSSARY OF TERMS

Definition of related person under GST is as under:

(a) persons shall be deemed to be **related persons** if

- (i) such persons are officers or directors of one anothers businesses;
- (ii) such persons are legally recognised partners in business;
- (iii) such persons are employer and employee;
- (iv) any person directly or indirectly owns, controls or holds twenty-five per cent or more of the outstanding voting stock or shares of both of them;
- (v) one of them directly or indirectly controls the other;
- (vi) both of them are directly or indirectly controlled by a third person;
- (vii) together they directly or indirectly control a third person; or they are members of the same family;

(b) the term person also includes legal persons;

(c) persons who are associated in the business of one another in that one is the sole agent or sole distributor or sole concess ionaire, howsoever described, of the other, shall be deemed to be related.

Explanation I. - The term "person" also includes legal persons.

Explanation II. - Persons who are associated in the business of one another in that one is the sole agent or sole distributor or sole concessionaire, howsoever described, of the other, shall be deemed to be related.

U.S. Persons

a) A tax resident of U.S.

b) A U.S. entity or organization incorporated in U.S.

c) A partnership or a corporation organized in the U.S. or under the law of the U.S. or any states thereof

d) A trust- (i) where a court within the United States would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust,

e) An estate of a decedent that is a citizen or resident of the United States

Exclusion Categories for U.S. Persons

a) A corporation the stock of which is regularly traded on one or more established securities markets

b) Any corporation that is a member of the same expanded affiliated group as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i)

c) The United States or any wholly owned agency or instrumentality thereof

d) Any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing

e) Any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code

f) Any bank as defined in section 581 of the U.S. Internal Revenue Code

g) Any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code

h) Any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);

i) Any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code

j) Any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code

k) A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State

l) A broker as defined in section 6045(c) of the U.S. Internal Revenue Code

m) Any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code

Exclusion Category For other than U.S. Persons

a) A corporation, the stock of which is regularly traded on one or more established securities markets

b) Any corporation that is a related entity of a corporation mentioned above:

c) A Governmental Entity

d) An International Organisation

e) A Central Bank

f) A Financial Institution

ANNEXURE 8-NATURE OF INDUSTRY

- ☐ Airlines
- ☐ Arms/Antique/Art Dealer
- ☐ Boat/Plane Dealership
- ☐ Bar/Casino/Night Club
- ☐ Bullion/Forex Dealer
- ☐ Dot-com Company or Internet business
- ☐ Electric power generation, transmission and distribution
- ☐ Exchange House
- ☐ Financial Advisory - Portfolio management services
- ☐ Gas-distribution of gaseous fuels
- ☐ Housing Finance Co.
- ☐ Insurance Co.
- ☐ Investment /Money Management / Personal Investment Company
- ☐ Iron and Steel
- ☐ Liquor Distributor
- ☐ Merchant Banking
- ☐ Money Services (Money transfer agent, remittance house, bank note traders)
- ☐ Mining
- ☐ Movie theatre
- ☐ Petroleum Oil & Gas
- ☐ Pawn Shop
- ☐ Stock Broker
- ☐ Term Lending Co.
- ☐ Used car dealer
- ☐ Veterinary activities
- ☐ Venture Capital Companies
- ☐ Waste collection, treatment and disposal activities; materials recovery
- ☐ Other Pvt. Financial Corp.