RE-KYC FORM FOR NON INDIVIDUAL ACCOUNT OPENING



| Date of Re-KYC | D D M M Y Y Y Y |
|-------------------------------|---|
| Customer ID | |
| | TELL US ABOUT YOUR BUSINESS |
| *Entity Name Account Title | |
| *Entity Type | |
| Sole Proprietorship | One Person Company Hindu Undivided Family (HUF) Partnership |
| Public Limited Con | npany Private Limited Company Limited Liability Partnerships (LLP) Joint Venture (Not incorporated) |
| LO/BO/PO - LLP | LO/BO/PO - Partnership LO/BO/PO - Pvt Ltd LO/BO/PO - Public Ltd |
| Embassy/Consulat | re/High Commission |
| Line of Business | |
| Manufacturing | Service Provider Retail Trade E-Commerce Wholesale Trading Financial Services |
| Nature of Industry | |
| Advertising/Media | Agriculture Airlines Arms/Antique/Art Dealer |
| Auto Finance Co. | Automobile Bar/Casino/Night Club Bullion/Forex Dealer |
| Cement | Chemicals/Dyes/Paints Consultancy Courier/Freight Forwarders |
| Consumer Durable | Dairy/Food Processing Departmental/Grocery Store Education |
| Engineering Goods | Exchange House Electronics/Computer Hardware Fertilizers/Seeds/Pesticides |
| Fishery/Poultry | Furniture/Timber Gems & Jewellery Government Contractor/Contractor |
| Hospital | Hotel/Resort Housing Finance Co. Insurance Co. |
| Iron and Steel | Health Club/Spa IT/Software/BPO Liquor Distributor |
| Marble/Granite | Mining Nursing Home/Clinic/Diagnostic Center Other Pvt. Financial Corp. |
| Petroleum Oil & Ga | Printing/Publishing Plastic/Paper & Allied Products Pharmaceutical/Chemist |
| Real Estate | Realty & Infrastructure Scrap Metal Telecom |
| Term Lending Co. | Transportation/Logistics Textiles/Garments/Handloom Travel & Tourism |
| Self Employed Pro | ofessional (Doctor/CA/Architect/Lawyer/Consultant) |
| Self Employed Pro | rfessional (Entertainment/Alternate Medicine Practitioner/Beautician) |
| PAN | *Gross Annual Turnover (in INR) |
| | |
| nternational Trade | Turnover (in INR) Import Export Code (IEC) |



In case of change in communication address or business address, Please fill the address change form & provide the proof of the new address

| ENTIT | Y PROOF |
|---|---|
| *Entity Proof: Name of Document | |
| ID Number (If applicable) | Expiry Date (If applicable) |
| *Address Proof: Name of Document | |
| ID Number (If applicable) | Expiry Date (If applicable) |
| Additional Documents Shared | |
| 1 | 2 |
| 3 | 4 |
| Additional Document IDs (If applicable) | |
| CIN | TAN |
| Sales Tax | Excise |
| | |
| \checkmark TELL US ABOUT THE PEOPLE WH | O WILL BE OPERATING THIS ACCOUNT |
| | |
| SIGNATORY 1 | SIGNATORY 2 |
| SIGNATORY 1 Title Mr. Ms. Mrs. | SIGNATORY 2 Title Mr. Ms. Mrs. |
| | |
| Title Mr. Ms. Mrs. *First Name Middle Name | Title Mr. Ms. Mrs. *First Name Mnddle Name |
| Title Mr. Ms. Mrs. *First Name Middle Name Alast Name Mrs. | Title Mr. Ms. Mrs. *First Name Middle Name Last Name |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation | Title Mr. Ms. Mrs. *First Name Mriddle Name Middle Name Middle Name Masser Middle Name Middle Name Masser Middle Name Masser Mrs. |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB MM YYYY Mrs. Mrs. Mrs. Mrs. | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB MM YYYY Mrs. Mrs. Mrs. Mrs. |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation | Title Mr. Ms. Mrs. *First Name Mriddle Name Middle Name Middle Name Masser Middle Name Middle Name Masser Middle Name Masser Mrs. |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB MM YYYY Mrs. Mrs. Mrs. Mrs. | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB PAN DIN |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB PAN |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB PAN DIN | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB PAN DIN |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB DD MM YYYY *PAN DIN *Nationality Landline |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline *Mobile No. *E-mail ID | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline *Mobile No. *E-mail ID |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline *Mobile No. *E-mail ID *Identity Proof | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB PAN DIN *Nationality Landline *Mobile No. *E-mail ID *Identity Proof |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline *Mobile No. *E-mail ID | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline *Mobile No. *E-mail ID |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline *Mobile No. *E-mail ID *Identity Proof Name of Document | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline *Mobile No. *E-mail ID *Identity Proof Name of Document |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline *Mobile No. *E-mail ID *Identity Proof | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB PAN DIN *Nationality Landline *Mobile No. *E-mail ID *Identity Proof |
| Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline *Mobile No. *E-mail ID *Identity Proof Name of Document | Title Mr. Ms. Mrs. *First Name *Middle Name *Last Name Designation *DOB *PAN DIN *Nationality Landline *Mobile No. *E-mail ID *Identity Proof Name of Document |

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DECLARATION (Please read carefully and sign at the end of this section after you have filled in all the details in the form)

- I/We am/are availing the banking facilities/products from IDFC FIRST Bank Limited ("IDFC FIRST Bank"), and have read, understood and agree
 to the Terms and Conditions displayed on the website of IDFC FIRST Bank i.e. www.idfcfirstbank.com, which may be amended by IDFC FIRST
 Bank from time to time and hosted and notified on the website of IDFC FIRST Bank.
- 2. I/We have read, understood and agree to the charges/costs, mentioned in the extant Schedule of Charges. This Schedule of Charges is also displayed on www.idfcfirstbank.com
- 3. I/We agree to abide by and be bound by all applicable rules/regulations/instruction/guidelines issued by the Reserve Bank of India, and under the FEMA regulations, 2000 governing EEFC Accounts, the Foreign Exchange Management Act, 1999 and Foreign Account Tax Compliance Act, 2010 (to the extent applicable to India) and the Common Reporting Standards (CRS), in force from time to time. I/We have declared our status as per the rules applicable under section 285BA of the Income Tax Act, 1961 (the Act) as notified by Central Board of Direct Taxes (CBDT) in this regard.
- 4. I/We authorize IDFC FIRST Bank to conduct my/our credit history verification with CIBIL or any other credit rating agency and acknowledge that IDFC FIRST Bank shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me/us to IDFC FIRST Bank. I/We declare that I/we have not availed any credit facility from any bank or have obtained NOC from such bank(s) for opening of a current account with IDFC FIRST Bank.
- 5. I/We agree to furnish and intimate to IDFC FIRST Bank any other particulars that we are called upon to provide on account of any change in law/statutory requirements either in India or abroad. I/We authorize IDFC FIRST Bank to exchange, share or part with all the Customer Information/KYC documents provided herein with financial institutions/agencies/statutory bodies/other such persons including but not limited to financial products/services providers e.g. Insurance companies, Asset Management Companies etc. which whom IDFC FIRST Bank has agency/distribution/marketing arrangement, as may be required by IDFC FIRST Bank. I/We shall not hold IDFC FIRST Bank or its agents/representatives liable for using/sharing such information.
- 6. I/We declare that: (a) there is no change in the communication or business address provided at the time of account opening. (b) The information provided herein as well as in the documentary evidence provided by me/us to IDFC FIRST Bank (the "Customer Information") is true, correct and complete in all aspects to the best of my/our knowledge and (c) I/We have not withheld any Customer Information that may affect the assessment/categorization of the account as a Reportable account or otherwise. (d) Any false/misleading Customer Information given by me/us or suppression of any material fact will render my/our account liable for closure and the bank shall have the right to initiate any action, under law or otherwise.
- If any of the information provided here is incorrect, I/We hereby agree to indemnify and keep indemnified IDFC FIRST Bank, affiliates and their successors or assignees.
- 8. I/We agree and understand that IDFC FIRST Bank reserves the right to discontinue/suspend any products / services / offerings without assigning any reason thereof and without being liable to me/us in any manner whatsoever.
- 9. I understand, acknowledge and authorize that as per the provisions of Income Tax Act, Rules made thereunder and the guidelines issued by the Government/RBI in the matter, depending upon the residential status and/or other criteria stipulated therein, the Bank may have to report the details in respect of my account(s) as per the prescribed format to the Central Board of Direct Taxes (CBDT) or other Government Agencies to comply with the obligations as per the Inter-Governmental Agreements (IGA) in respect of Foreign Accounts T. Compliance Act (FATCA) and Common Reporting Standards (CRS) and / or any other similar arrangements.
- 10. I undertake the responsibility to declare, disclose and recertify within 30 days any changes that may take place in the information provided in the account opening form and signed by me as well as in the documentary evidence provided by me or if any certifies tion become incorrect.
- 11. I also agree that our failure to disclose any material fact known to me now or in future, may invalidate my application and IDFC FIRST Bank would be within its right to put restrictions in the operations of my account or take appropriate action permissible under the Indian regulations for the purpose or take any other action as may deemed appropriate if the deficiency is not updated/rectified by me within the stipulated period.
- 12. I agree to furnish any particulars/information that is called upon me by IDFC FIRST Bank on account of any change in law either in India or abroad in the subject matter herein.
- 13. In the event there is any tax demand {including interest(if any)} raised due to nondisclosure/inaccurate disclosure of information/documents on my/our part, I undertake to pay the demand forthwith and provide the bank with all information/documentws that may be necessary for any proceeding before GOI/RBI/Income Tax Authorities.
- 14. By opening an account; I/We are willing to enroll and avail Doorstep banking non-cash services (i.e. pick-up and/or delivery of cheque and/or documents) details of which are available on www.idfcfirstbank.com
- 15. IDFC FIRST Bank protects the interest of its customers against any unauthorised electronic banking transaction. You may visit Bank's website www.idfcfirstbank.com for more details in respect of the same.

| Would you like IDFC FIRST Bank to contact you and tell you about new products, features and offers? | | | | | |
|---|---------------------|--------------------------------|---------------------|--|--|
| | | | | | |
| RECENT Colour Photograph | Name of Signatory 1 | RECENT Colour Photograph | Name of Signatory 2 | | |
| | Signature | | Signature | | |

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| BANK USE SECTION |
|---|
| Customer ID Account No. Account No. |
| Banker Certification |
| I have met the customer at his: Communication Address Business Address Other |
| I have seen the original KYC documents and returned these to the customer. Copy/Photo taken for record |
| The customer has signed in my presence Signature/Stamp |
| Name Date |
| |
| Employee ID D D M M Y Y Y Y |
| |
| |
| ANNEXURE 1: DECLARATION FOR PARTNERSHIP FIRMS |
| "We, the undersigned hereby declare that we are the partners or members of the Firm carrying on business in the name and style |
| of (registered under the Indian Partnership Act (IPA)1932)* (the "Firm"). We hereby, unconditionally & irrevocably, undertake that the Firm, its Partners and/or its successors shall be jointly |
| and severally liable and responsible from time to time and at all times hereinafter to the Bank in connection with our existing and |
| future transactions and dealings with the Bank, in any manner whatsoever. |
| Our undertaking and liability as aforesaid shall continue notwithstanding: a) any change in the constitution or membership of the |
| Firm and/or its successors and assignees by any cause whatsoever or dissolutions thereof; or b) that we or any of us cease to be partners or members or to have any interest in the Firm, in any of which events the liability and responsibility (in addition to that |
| provided by law) of us or such of us respectively, as the case may be, to have any interest in the Firm and/or its successors as |
| assignees as aforesaid shall extend to or continue in respect of all transactions and dealings existing prior to or at the date of receipt by you of written notice from us of such events respectively." |
| Toda, pri al financia de la casa |
| Name (i) Name (ii) |
| |
| |
| Signature |
| *Please strike off if not applicable |
| |
| ANNEXURE 2 - DECLARATION FOR SOLE PROPRIETORSHIP FIRMS |
| I,, hereby declare that I am the sole proprietor of the firm under the name of |
| and am solely responsible for the liabilities thereof. I shall advise you in writing of any change that takes place in the constitution of the firm and I will be liable to you for any obligation which may be standing in the |
| firm's name in your books on the date of receipt of such notice and until all such obligations shall have been liquidated. |
| Name |
| Maiden Name (if any) Mother's Name |
| Marital Status |
| Posidential Status |
| Resident Individual Non Resident Indian Foreign National Person of Indian Origin |
| Date of Birth Country of Birth Country of Birth |
| Country of Tax Residency |
| If Country of Birth or Tax Residency is other than India, please provide Tax Identification Number |
| Date DD MM YYYYY |
| SIGNATURE |
| 5.5 |
| |
| |
| Name |

Contd...4/7



| | ANNEXURE 3 - FATCA/CRS DECLARATION | | | | | | |
|---|--|-------------------------------|---------------------------|--|--|--|--|
| Α | Incorpo | ration Information | Details | | | | |
| | Place of | Incorporation | | | | | |
| | Country | of Incorporation | | | | | |
| | Compar | Company Identification Number | | | | | |
| В | Declaration of Tax Residency | | | | | | |
| | Sr. No. | Country(ies) of Tax Residency | Tax Identification Number | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| С | Exclusion Category, if applicable, for tax residents outside India (Refer Glossary) Details | | | | | | |
| 1 | US Persons | | | | | | |
| 2 | Other than US Persons | | | | | | |

Note: Please attach a copy of the Tax Residency Certificate/Copy of Incorporation or Equivalent Document for each of the countries mentioned above.

| ANNEXURE 4 - FATCA/CRS DECLARATION | | | | | |
|---|-----|----|--|--|--|
| A. Listed entity/its related entity | Yes | No | | | |
| a) Whether the entity is a listed entity? If yes, Listed in NSE, BSE Others (Please specify) | | | | | |
| b) Whether the entity is a related entity^ of a listed entity? Specify the name of the listed company | | | | | |
| B. Non - Individuals other than Listed entity/its related entity (Tick applicable category) | | | | | |
| a) Government Entity | | | | | |
| b) International Organization | | | | | |
| c) Central Bank | | | | | |
| d) Entity wholly owned by a, b or c above | | | | | |
| e) Tax-exempt Entity engaged in a Charitable Purpose | | | | | |
| C. Business | | | | | |
| a) Holding Company (with subsidiaries engaged in non-financial trade or business) | | | | | |
| b) Company providing, financing and hedging services to related entities | | | | | |
| D. Income/Assets Criteria | | | | | |
| a) 50% or more of the income in preceding financial year is from trading/business activities AND b) 50% or more of the assets in preceding financial year are held for trading/business purposes | | | | | |

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| | ANNEXURE 5* - BENEFICIAL OWNERSHIP DECLARATION | | | | | | | | | | |
|---|--|-------------------|--|---------------|----------------------|---|-------------------|-------------|------------|----------|--------------------|
| 1) | Not to be filled | | tities, subsidiarie | | | | | Internation | al Orga | anisa | tions) |
| Please | e tick the releva | ant option belo | ow: | | | | | | | | |
| The following natural person(s) (listed in table below) exercise control or ultimately have a controlling ownership interest i.e. having ownership/entitlement of the specified limit (Note 1) of shares/capital/profit/property or exercise control through other means such as voting rights, agreement, arrangement etc. | | | | | | | | | | | |
| | | | | OR | | | | | | | |
| ☐ t | There are no natural person(s) who exercise control or ultimately have a controlling ownership interest as stated above, therefore details of all partner(s) (for partnership)/trustees (for trust)/senior managing official (for unincorporated bodies)/directors/senior management (for companies) who are natural person(s) as stated in the below table. | | | | | | | | | | |
| Sr. | Nar | ne | Please tick the type of Address mentioned R - Residence / O - Office | | | | e of Birth Gender | | Control De | | |
| No | | | | | | | | | | (Note 2) | |
| 1. | | | | | ☐ R ☐ O | | | | | | |
| 2. | | | | | ☐ R ☐ O | | | | | | |
| 3. | | | | | ☐ R ☐ O | | | | | | |
| 4. | | | | | ☐ R ☐ O | | | | | | |
| 5. | | | | | R □ 0 | | | | | | |
| Sr. | | Documer | nts (Note 3) | Father's Name | Occupa | | Country | Country o | of Tax | Idon | Tax stification |
| No | Nationality | PAN ^{\$} | Document | (Note 4) | (Service/Bu Other | | of Birth | Resider | | | |
| 1. | | | | | | | | | | | |
| 2. | | | | | | | | | | | |
| 3. | | | | | | | | | | | |
| 4. | | | | | | | | | | | |
| 5. | | | | | | | | | | | |
| 2) T (3) F | 25% or more in case of a company and 15% or more in other type of entities Types of control - please use the applicable one (a) Ownership (b) Other means (c) Senior managing official (d) Trustee (e) Settlor (f) Protector (g) Beneficiary (h) others Please quote PAN^{\$}, Please provide a copy of any of the following documents: Passport/Voter ID/Driving License/Aadhaar/UIDAI letter/National Population Register Letter and mention the same in the space above. | | | | | | | | | | |
| | ^{\$} Applicable for Indian nationals/resident individuals. For other countries, functional equivalent of PAN (like Tax identification number or any unique number like SSN) can be provided | | | | | | | | | | |
| I/We agree that I/We will notify IDFC FIRST Bank without delay of any changes to the Beneficial Owner/Controlling natural person, as declared in the table above. | | | | | | | | | | | |
| 1. Signature of Authorised Signatories: 2. Signature of Authorised Signatories: | | | | | | | | | | | |
| | | | | | | | | | | | |
| Name | 2 | | | | | | Name | | | | |
| | Designation | | | | | | ion | | | | |
| Date | Date D D D M M Y Y Y Y Y | | | | | Y | | | | | |

Contd...6/7



GLOSSARY OF TERMS

- A tax resident of U.S.
- h)
- c) d)
- A U.S. entity or organization incorporated in U.S.

 A partnership or a corporation organized in the U.S. or under the law of the U.S. or any states thereof

 A trust- (i) where a court within the United States would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust,

 An estate of a decedent that is a citizen or resident of the United States
- e)

- Exclusion Categories for U.S. Persons
 a) A corporation the stock of which is regularly traded on one or more established securities markets
- A corporation the stock of which is regularly traded on one or more established securities markets

 Any corporation that is a member of the same expanded affiliated group as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i)

 The United States or any wholly owned agency or instrumentality thereof

 Any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing

 Any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code

 Any bank as defined in section 581 of the U.S. Internal Revenue Code

 Any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code

 Any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with b)
- d)
- e)

- Any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64); Any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code
- i)
- Any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code
 A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, j)
- k) forwards, and options) that is registered as such under the laws of the United States or any State A broker as defined in section 6045(c) of the U.S. Internal Revenue Code
- Any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code m)

Exclusion Category - For other than U.S. Persons

- A corporation, the stock of which is regularly traded on one or more established securities markets
- Any corporation that is a related entity of a corporation mentioned above: A Governmental Entity
- An International Organisation d)
- A Central Bank
- A Financial Institution